

CYNULLIAD CENEDLAETHOL CYMRU

Y PWYLLGOR ARCHWILIO

Adroddiad a gyflwynwyd i Gynulliad Cenedlaethol Cymru ar 07 Mai 2008 yn unol ag adran 143(1) o Ddeddf Llywodraeth Cymru 2006

Lleihau Heintiau sy'n Gysylltiedig â Gofal Iechyd mewn Ymddiriedolaethau GIG yng Nghymru

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Crynodeb

1. Heintiau sy'n gysylltiedig â gofal iechyd yw heintiau sy'n digwydd o ganlyniad i gysylltiad rhywun â'r system gofal iechyd yn ei ystyr ehangaf – o ofal a ddarperir yng nghartref yr unigolyn, i ofal sylfaenol, gofal mewn cartref nyrsio a gofal mewn ysbytai aciwt. Gellir ystyried haint yn un sy'n gysylltiedig â gofal mewn ysbyty os bydd yn datblygu mewn claf 48 awr neu fwy ar ôl cael ei dderbyn i'r ysbyty.
2. Mae'n annerbyniol i gleifion gael eu heintio o ganlyniad uniongyrchol i gael gofal iechyd. Gall fod yn anodd trin yr heintiau hyn a gallai'r canlyniadau fod yn ddifrifol, ac yn angheuol mewn rhai achosion. Felly dylai ymddiriedolaethau'r GIG sicrhau bod strategaethau, cyfleusterau, prosesau, capasiti ac arbenigeddau priodol ar waith i leihau heintiau sy'n gysylltiedig â gofal iechyd.
3. Yn 2004, lansiodd Llywodraeth Cynulliad Cymru (Llywodraeth y Cynulliad) ei strategaeth genedlaethol ar gyfer lleihau'r heintiau hyn, sef *Heintiau sy'n Gysylltiedig â Gofal Iechyd - Strategaeth ar gyfer Ysbytai yng Nghymru*. Pwysleisiodd y strategaeth hon bod angen i bawb ddod yn gyfrifol am atal a rheoli heintiau ac roedd yn nodi bwriad Llywodraeth y Cynulliad i fynd i'r afael â phob haint sy'n gysylltiedig â gofal iechyd ac nid yr organeddau uchel eu proffil megis *Staphylococcus aureus* (MRSA) a *Clostridium difficile* (*C. difficile*) sydd ag ymwrthedd i methisilin yn unig.
4. Ar sail adroddiad gan yr Archwilydd Cyffredinol,¹ ystyriwyd a yw ymddiriedolaethau'r GIG yng Nghymru yn gwneud y pethau cywir o ran lleihau heintiau sy'n gysylltiedig â gofal iechyd. Casglwyd tystiolaeth gan Mrs Ann Lloyd, Cyfarwyddwr Adran Iechyd a Gofal Cymdeithasol Llywodraeth Cynulliad Cymru, Dr Mike Simmons, Cyfarwyddwr Diogelu Iechyd, Gwasanaeth Iechyd Cyhoeddus Cenedlaethol Cymru a Mr Paul Barnett, Prif Weithredwr, Ymddiriedolaeth GIG Sir Gaerfyrddin.
5. Ar y cyfan, daethom i'r casgliad bod ymddiriedolaethau'r GIG wedi gwneud cynnydd da o ran mynd i'r afael â heintiau sy'n gysylltiedig â gofal iechyd heb ganolbwyntio'n ormodol ar MRSA a *C. difficile*. Fodd bynnag, rhaid iddynt wneud mwy, yn arbennig o ran gwella prosesau, capasiti a gwybodaeth gan nad yw atal a rheoli heintiau yn cael ei ystyried yn fater i bawb.

¹ Adroddiad Archwilydd Cyffredinol Cymru, *Lleihau Heintiau sy'n Gysylltiedig â Gofal Iechyd mewn Ymddiriedolaethau GIG yng Nghymru*, 8 Tachwedd 2007

Ymddengys bod y dull cyffredinol yng Nghymru, sy'n canolbwyntio ar atal a rheoli pob haint sy'n gysylltiedig â gofal iechyd, yn dwyn manteision er nad yw pawb yn cymryd cyfrifoldeb dros hynny.

6. Mae rhywfaint o dystiolaeth i awgrymu bod y dull a ddefnyddir yng Nghymru wedi cyfrannu at gyfraddau heintiau is, er bod problemau gyda rhai mathau o heintiau. Er bod cyfyngiadau i'r holl ddata o ran cyfraddau heintiau, mae rhai awgrymiadau bod strategaeth ehangach Cymru ar gyfer mynd i'r afael â phob haint sy'n gysylltiedig â gofal iechyd, yn hytrach na'r rhai amlycaf, wedi gostwng cyfraddau heintiau. Mae'n ymddangos bod hyn yn arbennig o wir wrth gymharu cyfraddau heintiau Cymru â chyfraddau heintiau Lloegr, ble mae'r dull cenedlaethol o fynd i'r afael â heintiau wedi canolbwyntio'n benodol ar MRSA.
7. Mae cyffredinolrwydd heintiau sy'n gysylltiedig â gofal iechyd ymysg cleifion mewn ysbytai aciwt yn is o lawer yng Nghymru nag yn Lloegr a'r Alban, er ei fod yn uwch nag yng Ngogledd Iwerddon a Gweriniaeth Iwerddon. Bu gostyngiad sylweddol ym mynychder y bacteremiâu² MRSA yng Nghymru dros y blynyddoedd diwethaf, ac mae cyffredinolrwydd heintiau *C. difficile* yng Nghymru ychydig dros 50 y cant o'u cyffredinolrwydd yn Lloegr.
8. Y dystiolaeth sy'n peri'r pryder mwyaf yw'r hyn sy'n awgrymu bod mynychder heintiau *C. difficile* wedi cynyddu'n sylweddol yng Nghymru rhwng 1993 a 2005. Awgryma'r data sy'n dangos y 10 organedd mwyaf cyffredin sy'n achosi *Klebsiella bacteriaemïau* bod nifer yr achosion o'r haint hwn yn uwch nag ar gyfer MRSA ymysg cleifion yng Nghymru. Ymddengys bod heintiau a geir ar ôl llawfeddygaeth yn broblem yng Nghymru. Dengys y data bod heintiau safleoedd llawfeddygol yn dilyn mathau penodol o lawdriniaeth orthopedig a thoriad Cesaraidd yn uwch nag mewn gwledydd cymharol.
9. Mae'r dull o ymdrin â hyn yng Nghymru wedi dechrau sicrhau'r newid diwylliant sy'n angenrheidiol i wella'r gwaith o atal a rheoli heintiau. Ymddengys bod penderfyniad Llywodraeth y Cynulliad i ganiatáu i ymddiriedolaethau'r GIG ddatblygu eu targedau lleihau heintiau eu hunain yn hytrach na defnyddio targed cenedlaethol gorfodol wedi arwain at berchnogaeth o hyn ymysg ymddiriedolaethau'r GIG. Mae tystiolaeth bod dull eang y strategaeth genedlaethol wedi annog ymddiriedolaethau i ystyried dull yr un mor gyfannol o leihau heintiau sy'n gysylltiedig â gofal iechyd. Mae'r ymddiriedolaethau wedi sefydlu nifer o strwythurau i fynd i'r afael â heintiau sy'n

² Bacteremia yw haint yn y llif gwaed

gysylltiedig â gofal iechyd yn hytrach na dibynnu ar ymyriadau unigol i leihau heintiau na fyddai, efallai, yn adlewyrchiad llawn o risgiau lleol.

10. Dywedodd y tystion eu bod yn dechrau gweld newid diwylliant sylweddol o ran atal a rheoli heintiau. Cafwyd enghreifftiau ganddynt o fwy o barodrwydd ymysg clinigwyr i olchi eu dwylo a mwy o barodrwydd i fynychu digwyddiadau atal heintiau. Fodd bynnag, awgryma tystiolaeth y tystion bod y newid diwylliant hwn yn amrywio yng Nghymru am fod lefelau'r berchnogaeth yn amrywio.
11. Er gwaethaf y cynnydd ymddangosiadol, nid yw pawb yn credu hyd yma eu bod yn gyfrifol am atal a rheoli heintiau. Mae rhai aelodau o staff ysbytai yn parhau i fod wedi ymddieithrio ac nid yw pob hyfforddiant wedi bod yn effeithiol o ran sicrhau newidiadau cynaliadwy mewn arferion atal a rheoli heintiau. Rhaid i glinigwyr chwarae rhan fel arweinwyr a hyrwyddwyr y gwaith o atal a rheoli heintiau. Mae lle hefyd i ymgysylltu mwy â chleifion ac ymwelwyr er mwyn sicrhau nad yw eu hymddygiad yn cynyddu'r risg o haint.
12. Rhaid i'r ymddiriedolaethau ddangos arweinyddiaeth gorfforaethol tuag at heintiau sy'n gysylltiedig â gofal iechyd. Ni ddylid ceisio cyrraedd targedau gweithredu a thargedau ariannol ar draul diogelwch cleifion, gan esgeuluso'r gwaith o atal a rheoli heintiau. Bwriad Llywodraeth y Cynulliad yw adnewyddu ei strategaeth genedlaethol a chytunwn fod angen adolygiad er mwyn manteisio ymhellach ar y cynnydd a wnaed ac er mwyn sicrhau newid diwylliant ehangach.

Mae gwendidau mewn prosesau ysbytai ynghyd â chapasiti a chyfleusterau amhriodol yn effeithio ar yr ymdrechion i leihau heintiau sy'n gysylltiedig â gofal iechyd

13. Mae'r safonau glanhau ac arferion eraill sy'n gymorth i sicrhau diogelwch cleifion yn amrywio, ac adlewyrchir gan archwiliadau rheoli heintiau ar hap diweddar a gynhelir gan Arolygiaeth Gofal Iechyd Cymru.³ Mae gwaith glanhau gwael yn effeithio ar hyder y cyhoedd yn y gwasanaeth iechyd ynghyd a'u profiad o'r gwasanaeth hwnnw. Er bod rhai ymddiriedolaethau yn mabwysiadu arferion da mewn glanhau amgylcheddol yn gyffredinol, mae angen i ymddiriedolaethau eraill fynd i'r afael â diffygion sylfaenol o ran glanweithdra. Ceir problemau yn arbennig o ran glanhau o amgylch cyfarpar sydd wedi'u storio'n amhriodol mewn mannau clinigol oherwydd diffyg lle i storio mewn mannau eraill.
14. Mae GIG Cymru wrthi'n ceisio gwella prosesau a threfniadau eraill mewn ysbytai ar hyn o bryd er mwyn lleihau heintiau sy'n gysylltiedig â gofal iechyd. Mae'r Prif

³ Arolygiaeth Gofal Iechyd Cymru. *Adroddiad ar Reoli Heintiau – Canfyddiadau a Themau*, Tachwedd 2007

Swyddog Nyrsio yn arwain y gwaith o leihau effaith posibl dillad y staff ar gyfraddau heintiau a bellach mae rhai ysbytai yn gweithredu rhaglen ddiogelwch cleifion genedlaethol⁴ sy'n golygu lleihau heintiau sy'n gysylltiedig â gofal iechyd drwy arferion clinigol mwy diogel.

15. Mae capasiti a chyfleusterau annigonol yn amharu ar y gwaith o reoli heintiau'n effeithiol mewn rhai ysbytai. Nid yw nifer y nyrsys rheoli heintiau mewn rhai ymddiriedolaethau yn bodloni'r argymhellion. Fodd bynnag, mae peth ansicrwydd am y ffordd fwyaf priodol o gymhwyso'r meincnodau hyn, yn arbennig pan nad oes arweiniad pendant ar gael ar y mater hwn gan Lywodraeth y Cynulliad. Mae microbiolegwyr hefyd yn cynnig arbenigedd hanfodol ym maes atal a rheoli heintiau ac mae'n bwysig bod gan Gymru strategaeth ar gyfer cadw a gwella capasiti ac arbenigedd microbiolegol yn y dyfodol.
16. Gall rhoi cleifion sydd wedi'u heintio mewn ystafelloedd ysbyty sengl fod yn ffordd effeithiol o atal heintiau sy'n gysylltiedig â gofal iechyd rhag cael eu trosglwyddo. Cafwyd ychydig o gynnydd o ran gwella galluedd ystafelloedd ynysu presennol a hefyd o ran datblygu capasiti ychwanegol. Mae rhaglenni cyfalaf sy'n gysylltiedig ag ail-gyflunio presennol yn y GIG yng Nghymru yn cynnig cyfle i sicrhau bod y gwaith o ddylunio ysbytai yn lleihau'r risgiau o heintiau sy'n gysylltiedig â gofal iechyd drwy wella cyfleusterau ynysu.

Mae ansawdd y wybodaeth am heintiau sy'n gysylltiedig â gofal iechyd yn gwella ond mae bylchau'n parhau

17. Mae gan Gymru raglen arolygu eang sydd â'r nod o fonitro'r achlysuron o heintiau sy'n gysylltiedig â gofal iechyd a'u heffeithiau. Ceir problemau o ran clinigwyr sy'n methu â chydymffurfio â gofynion cofnodi'r rhaglen, nid yw rhai enghreifftiau o'r data yn cydymffurfio'n uniongyrchol â data o wledydd eraill ac mae lle i wneud gwell defnydd o'r data a gasglir.
18. Nid yw'r wybodaeth a gofnodir ar dystysgrifau marwolaeth yn ffynhonnell ddibynadwy wrth bennu i ba raddau y mae heintiau sy'n gysylltiedig â gofal iechyd wedi arwain at farwolaeth. Mae'r wybodaeth a gofnodir ar dystysgrifau'n dibynnu llawer ar farn glinigol ac yn adlewyrchu'r nifer posibl o achosion o farwolaeth sy'n gweithredu'n annibynnol ar ei gilydd ac mewn cyfuniad. Hyd yn oed pe bai'n bosibl gwella'r canllawiau presennol, byddai Llywodraeth y Cynulliad yn gyfyngedig o ran ei gallu i

⁴ Caiff y Fenter Cleifion Diogelach ei chynnal gan y Sefydliad Iechyd mewn partneriaeth â'r Sefydliad dros Wella Gofal Iechyd. Cynhelir y fenter fel rhaglen sefydliadol i wella diogelwch cleifion.

newid y broses hon gan nad yw cwblhau tystysgrifau marwolaeth yn gyfrifoldeb sydd wedi'i ddatganoli.

19. Mae pob ymddiriedolaeth GIG wedi datblygu prosesau sgrinio heintiau sy'n seiliedig ar asesiadau risg lleol. Sgrinio yw cynnal profion microbiolegol ar bobl i weld a oes ganddynt organedd heintus yn eu cyrff. Mae Llywodraeth y Cynulliad wedi gofyn i'r Gwasanaeth Iechyd Cyhoeddus Cenedlaethol asesu'r angen am arweiniad cenedlaethol o ran sgrinio.
20. Ni all ymddiriedolaethau'r GIG wneud penderfyniadau gwybodus ynghylch atal a rheoli heintiau gan nad ydynt yn casglu gwybodaeth ddibynadwy am gostau heintiau sy'n gysylltiedig â gofal iechyd. Yn ogystal â'r effaith a gaiff heintiau sy'n gysylltiedig â gofal iechyd ar y bobl a gaiff eu heintio, mae'r effaith yn sylweddol hefyd ar ymddiriedolaethau'r GIG. Mae heintiau yn arwain at gostau ariannol uniongyrchol o ran trin yr heintiau hyn a'r amser ychwanegol y mae'r cleifion yn ei dreulio yn yr ysbyty yn cael triniaeth. Rhaid ystyried hefyd gostau cyfle'r gwelyau a ddefnyddir gan y cleifion sydd wedi'u heintio yn hytrach na'r cleifion sy'n aros am driniaeth a gynlluniwyd. Mae'r Gwasanaeth Iechyd Cyhoeddus Cenedlaethol wedi amcangyfrif bod heintiau sy'n gysylltiedig â gofal iechyd yn costio £50 miliwn y flwyddyn yng Nghymru. Fodd bynnag, nid yw ymddiriedolaethau'r GIG yn casglu gwybodaeth yn rheolaidd am effeithiau ariannol heintiau sy'n gysylltiedig â gofal iechyd. Mae'r prinder gwybodaeth hwn ynghylch costau yn ei gwneud yn anodd i ymddiriedolaethau ddatblygu achosion busnes cadarn dros fwy o adnoddau i atal a rheoli heintiau.
21. Er gwaethaf gwaith arolygu eang mae llawer nad ydym yn ei wybod am heintiau sy'n gysylltiedig â gofal iechyd. Er enghraifft, awgryma data tueddiadau mai ychydig iawn o ddealltwriaeth sydd o'r cynnydd yn yr achosion o heintiau *C. difficile*, ynghyd â'r rhesymau dros yr amrywiaethau eang o ran cyfraddau heintiau rhwng ymddiriedolaethau'r GIG. Dim ond ar gyfer pobl dros 65 oed y mae data ar heintiau *C. difficile* ar gael. Mae hyn yn atgyfnerthu'r angen i barhau i fuddsoddi mewn ymchwil ac arolygu heintiau sy'n gysylltiedig â gofal iechyd.

Argymhellion

- i. Ceir awgrymiadau bod y dull yng Nghymru wedi dechrau sicrhau newid diwylliant ond mae angen hwb newydd bellach i sicrhau y caiff y newid hwn ei gynnal. Rydym yn argymhell:

- a) **Wrth ddiwygio ei strategaeth genedlaethol ar gyfer lleihau heintiau sy'n gysylltiedig â gofal iechyd, dylai Llywodraeth y Cynulliad weithredu argymhellion yr adroddiad hwn ac adroddiad Archwilydd Cyffredinol Cymru. Dylai'r strategaeth newydd gynnwys, o fewn yr ethos o annog gosod blaenoriaethau lleol, arweiniad mwy pendant i ymddiriedolaethau'r GIG ar faterion megis nifer y staff rheoli heintiau arbenigol, sgrinio cleifion a chasglu gwybodaeth am gostau.**
 - b) **Dylai ymddiriedolaethau'r GIG ymgorffori argymhellion Archwilydd Cyffredinol Cymru ac argymhellion yr adroddiad hwn yn eu cynlluniau gweithredu ar gyfer atal a rheoli heintiau.**
- ii. Mae gan bawb a ddaw i gysylltiad â sefyllfaoedd gofal iechyd, gan gynnwys cleifion ac ymwelwyr, gyfrifoldeb dros atal a rheoli heintiau, ond mae hyn yn arbennig o bwysig i staff a ddaw i gysylltiad â chleifion yn rheolaidd. Mae'n amlwg bod posibilrwydd y gall clinigwyr sy'n symud o un claf i'r llall drosglwyddo heintiau, felly dylid canolbwyntio arnynt hwy yn bennaf yn yr ymdrechion i newid diwylliant a derbyn perchnogaeth. Mae angen hyfforddiant mwy effeithiol sy'n seiliedig ar anghenion, nad yw'n codi ymwybyddiaeth yn unig ond sydd hefyd yn sicrhau newid cynaliadwy wrth ymarfer. Mae gan bob ymddiriedolaeth arweinydd enwebedig bellach ar gyfer atal a rheoli heintiau mewn rhai o'u cyfarwyddiaethau o leiaf, ond mae angen ehangach i glinigwyr arwain o'r blaen o ran ymdrechion yr ymddiriedolaethau i leihau heintiau sy'n gysylltiedig â gofal iechyd, gyda mwy o atebolrwydd ar gyfer lleihau heintiau sy'n gysylltiedig â gofal iechyd. Rydym yn argymhell:
- a. **Dylai arweinwyr cyfarwyddiaethau ym maes atal a rheoli heintiau weithio gyda'r tîm rheoli heintiau i ddefnyddio canlyniadau cynlluniau arolygu i nodi'r unigolion a'r timau sydd ag anghenion hyfforddiant;**
 - b. **Dylai ymddiriedolaethau'r GIG fynd ati i annog uwch staff meddygol i fod yn hyrwyddwyr lleihau heintiau sy'n gysylltiedig â gofal iechyd yn eu meysydd arbenigedd eu hunain a chydweithio ag arweinwyr enwebedig y cyfarwyddiaethau. Mewn achosion lle nad yw hyn yn digwydd eisoes, dylai'r clinigwyr hyn gymryd rhan weithredol yn y broses o osod targedau lleihau heintiau a dylent gael adborth rheolaidd ar y cynnydd tuag at y targedau hyn. Dylai'r ymddiriedolaethau hefyd annog y clinigwyr hyn i fynychu sesiynau adborth blynyddol y**

Gwasanaeth Iechyd Cyhoeddus Cenedlaethol ar leihau heintiau er mwyn dysgu o arferion da mewn ymddiriedolaethau eraill; a

- c. **Pan fo'n briodol, dylai Llywodraeth y Cynulliad nodi cyfrifoldeb dros reoli heintiau ym mhob swydd ddisgrifiad a wneir yn ganolog, a dylai ymddiriedolaethau gynnwys y cyfrifoldeb dros reoli heintiau ym mhob swydd ddisgrifiad a wneir yn lleol. Yn ystod arfarniadau staff, dylai ymddiriedolaethau asesu pa mor effeithiol y mae'r staff wedi cynnal eu cyfrifoldebau ar gyfer atal a rheoli heintiau.**
- iii. Er mai staff clinigol ddylai fod yn brif ganolbwynt yr ymdrechion i newid diwylliant, mae pawb sy'n mynd i mewn i ysbyty yn drosglwyddydd haint posibl. O ganlyniad, **dylai ymddiriedolaethau'r GIG gydymffurfio ag arfer da wrth bwysleisio'r camau y dylai pob unigolyn eu cymryd i leihau'r risg o gael neu ledaenu heintiau sy'n gysylltiedig â gofal iechyd. Mae'r arfer da hwn yn cynnwys cynhyrchu gwybodaeth i gleifion sy'n amlinellu'r camau y gall cleifion eu cymryd i leihau'r risg o gael heintiau, sicrhau bod digon o gyfleusterau golchi dwylo ar gael a'i bod yn hawdd dod o hyd iddynt a dulliau arloesol o atgoffa pobl i olchi eu dwylo megis cyhoeddiadau awtomatig a weithredir gan symudiadau.**
- iv. Mae'r bwriad i uno llawer o ymddiriedolaethau'r GIG yng Nghymru cyn bo hir yn gyfle i brif ffrydio arfer da ond yn risg hefyd o ran parhau â'r cynnydd wrth leihau heintiau. **Fel mater o flaenoriaeth, dylai timau rheoli heintiau yn yr ymddiriedolaethau unedig newydd, lunio cynlluniau gweithredu i nodi'r arferion atal a rheoli heintiau gorau gan eu rhagflaenwyr gyda'r nod o ledaenu'r arferion hyn drwy'r ymddiriedolaeth newydd.**
- v. Canfu Arolygiaeth Gofal Iechyd Cymru a'r Archwilydd Cyffredinol ffaelectedau sylfaenol mewn cynnal a chadw a hylendid mewn ysbytai yng Nghymru. Gall diffyg storfeydd ac anhrefn mewn mannau clinigol fod yn rhwystr i lanhau effeithiol. Gall 'capasiti ymchwydd' fod o gymorth i ynysu cleifion wrth lanhau wardiau'n drylwyr pan effeithir arnynt gan achosion o haint. **Rydym yn argymhell:**
 - a. **Dylai rheolwyr wardiau gael eu gwneud yn fwy atebol dros lendid mannau clinigol. Dylent weithredu fel hyrwyddwyr hylendid wardiau a dylent oruchwylio gwaith y glanhawyr a ddylai, cyn belled â phosibl, fod yn gyfrifol am wardiau penodol er mwyn meithrin perchnogaeth ac annog gwaith tîm;**

- b. **Yn ei arweiniad, dylai Llywodraeth y Cynulliad amlinellu pwysigrwydd ystyried atal a rheoli heintiau ym mhob rhaglen cyfalaf, penodol neu fawr. Dylai ymddiriedolaethau gynnwys staff rheoli heintiau yn y gwaith o ddylunio adeiladau er mwyn sicrhau bod amgylchedd priodol i atal a rheoli heintiau. Byddai cynnwys storfeydd addas yn atal anhrefn, a dylai hyn leihau ymhellach drwy ddatblygu storfeydd canolog i offer. Cyn belled â phosibl, dylai rhaglenni cyfalaf ystyried y posibilrwydd o gynnwys capasiti ymchwydd er mwyn darparu capasiti ynysu mwy priodol ar gyfer mannau yr effeithir arnynt gan achosion o haint; a**
 - c. **Dylai ymddiriedolaethau ddysgu o arfer da o ran glanhau, wrth lanhau wardiau yn ddyddiol a'u glanhau'n ddwys yn achlysurol, gyda'r defnydd o gapasiti ymchwydd i glirio wardiau er mwyn eu glanhau'n drylwyr.**
- vi. Mae nyrsys rheoli heintiau a microbiolegwyr yn darparu gwybodaeth a sgiliau arbenigol i lywio'r ymdrechion i leihau heintiau sy'n gysylltiedig â gofal iechyd drwy ragnodi gwrthfotigau'n ofalus. Fodd bynnag, nid yw lefelau staffio timau rheoli heintiau mewn llawer o feysydd yn bodloni'r nifer a argymhellir. **Rydym yn argymhell:**
- a. **Yn seiliedig ar ei dadansoddiad o feincnodau presennol, dylai Llywodraeth y Cynulliad lunio a chyhoeddi arweiniad cenedlaethol ar y gymhareb rhwng nyrsys rheoli haint a gwelyau, gan adlewyrchu ailgyfluniad gofal eilaidd a symudiadau tuag at ddarparu gofal yn y gymuned a gofal yn nes at gartrefi cleifion;**
 - b. **Dylai Llywodraeth Cynulliad Cymru a'r Gwasanaeth Iechyd Cyhoeddus Cenedlaethol lunio strategaeth gynllunio ddilynol er mwyn sicrhau bod gan Gymru nifer digonol o ficrobiolegwyr sydd wedi cael yr hyfforddiant priodol; a**
 - c. **Dylai Llywodraeth y Cynulliad adolygu effaith arbenigedd gwrthficrobaidd a rhagnodi gwrthfotigau'n ofalus ar atal a rheoli heintiau gyda'r nod o gyhoeddi arweiniad i ymddiriedolaethau'r GIG ar fanteision posibl cyflogi fferyllwyr gwrthficrobaidd.**
- vii. Mae arolygu cyrhaeddiad ac effeithiau heintiau sy'n gysylltiedig â gofal iechyd yn hanfodol wrth fonitro tueddiadau a nodi ffactorau sy'n effeithio ar gyfraddau heintiau. Er ein bod yn croesawu'r ffaith bod gan Gymru raglen arolygu genedlaethol eang ac estynedig, gwael iawn yw cydymffurfiaeth rhai

ymddiriedolaethau â'r gwaith o arolygu safleoedd llawfeddygol a dim ond cleifion dros 65 oed y mae gwaith arolygu *C. difficile* yn ei gwmpasu. Mae lle hefyd i wella ac ymestyn y rhaglen arolygu i ddarparu data sy'n fwy cynhwysfawr a galluogi cymariaethau uniongyrchol â gwledydd eraill. **Rydym yn argymhell:**

- a. **Dylai ymddiriedolaethau'r GIG sydd â lefelau isel o gydymffurfio â rhaglenni arolygu safleoedd llawfeddygol lunio grŵp gorchwyl a gorffen o glinigwyr, cynrychiolwyr yr adran wybodaeth ac arbenigwyr rheoli heintiau i lunio cynllun gweithredu i sicrhau cydymffurfiaeth;**
 - b. **Os yw arolwg parhaus gan y Gwasanaeth Iechyd Cyhoeddus Cenedlaethol o ymddiriedolaethau'r GIG yn nodi nifer sylweddol o heintiau *C. difficile* mewn cleifion o dan 65 oed, dylai Llywodraeth y Cynulliad ymestyn y cynllun arolygu presennol i gynnwys cleifion o bob oedran;**
 - c. **Wrth reoli perfformiad yr ymddiriedolaethau, dylai Llywodraeth y Cynulliad roi'r sylw perthnasol i lefelau cydymffurfio â chynlluniau arolygu a'r rhestr o'r deg bacteremia uchaf; a**
 - d. **Dylai'r Gwasanaeth Iechyd Cyhoeddus Cenedlaethol weithio gyda sefydliadau tebyg yng ngweddill y DU ac Iwerddon er mwyn sicrhau bod ei ddata arolygu, cyn belled â phosibl, yn cymharu'n uniongyrchol â data o wledydd eraill. Dylai nodi hefyd pa un a oes gwersi y gall eu dysgu o'r ffordd y mae Gweriniaeth Iwerddon yn ymdrin ag atal a rheoli heintiau, sydd â nifer is o achosion o heintiau sy'n gysylltiedig â gofal iechyd na'r DU.**
- viii. Nid yw Llywodraeth y Cynulliad wedi cyhoeddi unrhyw arweiniad ffurfiol ar weithdrefnau sgrinio. Felly mae gofynion sgrinio presennol Cymru ar y cyfan yn seiliedig ar arweiniad y DU-gyfan a luniwyd gan y Gymdeithas Heintiau a Geir mewn Ysbytai yn 2006, wedi'u haddasu i adlewyrchu asesiadau risg lleol. Mae Llywodraeth y Cynulliad wedi gofyn i'r Gwasanaeth Iechyd Cyhoeddus Cenedlaethol am ddatganiad ar ei farn ar sgrinio. **Yn seiliedig ar y cyngor a ddisgwylir gan y Gwasanaeth Iechyd Cyhoeddus Cenedlaethol, dylai Llywodraeth y Cynulliad lunio arweiniad cenedlaethol ar sgrinio. Dylai hyn gynnwys dadansoddiad o gostau ac effeithiau rhaglenni sgrinio amrywiol, a fydd yn cynorthwyo ymddiriedolaethau'r GIG i lunio asesiadau risg lleol ynglŷn â'r organebau a ddylai gael eu sgrinio ac ym mha amgylchiadau y dylid cynnal y gwaith sgrinio.**

- ix. Mae'r Gwasanaeth Iechyd Cyhoeddus Cenedlaethol wedi amcangyfrif bod cyfanswm costau blynyddol heintiau sy'n gysylltiedig â gofal iechyd yn £50 miliwn. Mae casglu gwybodaeth am gostau ac effeithiau heintiau sy'n gysylltiedig â gofal iechyd yn bwysig wrth lunio achosion busnes dros sicrhau adnoddau ychwanegol i atal a rheoli heintiau, ond nid yw'n arferiad gan ymddiriedolaethau'r GIG gasglu gwybodaeth am gostau ac effeithiau heintiau sy'n gysylltiedig â gofal iechyd.
- Rydym yn argymhell y dylai Llywodraeth y Cynulliad lunio canllawiau ar y wybodaeth benodol am gostau y dylai ymddiriedolaethau'r GIG eu casglu a'u dadansoddi. Gallai'r wybodaeth hon gynnwys data sy'n ymwneud â chau wardiau, dyddiau gwely a gollir a chleifion a gaiff eu haillderbyn i'r ysbyty o ganlyniad i haint a gafwyd yn ystod arhosiad blaenorol yn yr ysbyty. Dylai'r arweiniad hwn ystyried data costau a gasglwyd drwy arolwg cyffredinolrwydd yn yr Alban ym mis Gorffennaf 2007.**

Ymddengys bod y dull cyffredinol yng Nghymru, sy'n canolbwyntio ar atal a rheoli pob haint sy'n gysylltiedig â gofal iechyd, yn dwyn manteision er nad yw pawb yn cymryd cyfrifoldeb dros hynny

Mae rhywfaint o dystiolaeth i awgrymu bod y dull o weithio yng Nghymru wedi cyfrannu at gyfraddau heintiau is, er bod problemau gyda rhai mathau o heintiau

22. Achosir heintiau gan ficro-organeddau ac mae'n anodd rhagweld effaith y rhain ar unigolion. Oherwydd hynny, mae'n anodd diffinio'n bendant pryd fydd rhywun wedi'i heintio. Yn ei dro, gall hyn gyfyngu ar ba mor ddibynadwy a chyson yw'r broses o edrych ar nifer yr achosion ac effeithiolrwydd camau i'w lleihau. Ond er gwaethaf y cyfyngiadau hyn, mae gan Gymru raglen arolygu genedlaethol a helaeth sy'n caglu amrywiaeth eang o ddata, gyda'r nod o fonitro tueddiadau mewn cyfraddau heintiau.
23. Yn ôl y data sydd ar gael, ceir awgrymiadau bod penderfyniad Llywodraeth y Cynulliad i fabwysiadau strategaeth eang, a honno'n ceisio mynd i'r afael â phob haint sy'n gysylltiedig â gofal iechyd yn hytrach na chanolbwyntio ar yr organeddau amlycaf, wedi cyfrannu at gyfraddau is yn achos rhai heintiau yng Nghymru o gymharu â gwledydd eraill.⁵ Mae'n ymddangos bod hyn yn arbennig o wir wrth gymharu cyfraddau heintiau Cymru â chyfraddau Lloegr, ble mae'r dull cenedlaethol wedi bod yn llai holistig ac wedi canolbwyntio'n benodol ar MRSA.⁶
24. Yn 2006, dangosodd y Trydydd Arolwg Cyffredinolrwydd⁷ fod gan 6.4 y cant o holl gleifion ysbytai aciwt Cymru haint a oedd yn gysylltiedig â gofal iechyd. Roedd y cyffredinolrwydd hwn yn sylweddol is nag yng ngweddill tir mawr y DU, sef 8.2 y cant yn Lloegr a 9.5 y cant yn yr Alban. Fodd bynnag, roedd y cyffredinolrwydd yng Nghymru yn uwch nag yng Ngweriniaeth Iwerddon (4.9 y cant) a Gogledd Iwerddon (5.4 y cant).⁸
25. Bu gostyngiad sylweddol ym mynychder y bacteremiâu MRSA yng Nghymru dros y blynyddoedd diwethaf.⁹ Bu cynnydd sydyn yn eu nifer rhwng 1995 a 1997, ac yna cynnydd llai tan 2000. Wedi hynny, arhosodd y lefel yn sefydlog tan y gwelwyd

⁵ Atodiad A, paragraff 24

⁶ Atodiad A, paragraffau 15 a 22-24

⁷ Cyffredinolrwydd yw nifer yr holl achosion (rhai newydd a rhai sy'n bodoli'n barod) o glefyd neu haint mewn poblogaeth benodol. Gellir mesur cyffredinolrwydd dros gyfnod penodol o amser (cyffredinolrwydd cyfnod) neu ar adeg benodol (cyffredinolrwydd adeg). Cynhaliwyd yr arolwg rhwng mis Chwefror a mis Mai 2006 gan y Gymdeithas Heintiau Ysbyty a Chymdeithas y Nyrsys sy'n Rheoli Heintiau. Cyfrannodd bob gwlad yn y DU ac Iwerddon, er y cynhaliwyd yr arolwg yn yr Alban rhwng mis Hydref 2005 a mis Hydref 2006, gan ddefnyddio methodoleg rhywfaint yn wahanol.

⁸ Adroddiad Archwilydd Cyffredinol Cymru, paragraffau 1.1-1.2 a Ffigur 1

⁹ Mynychder yw nifer yr achosion newydd o glefyd neu haint sy'n digwydd mewn poblogaeth benodol dros gyfnod penodol o amser.

gostyngiad ystadegol sylweddol rhwng mis Ebrill 2005 a mis Gorffennaf 2006.¹⁰

Dywedodd Dr Simmons wrthym y bu gostyngiad amlwg mewn bacteremiâu MRSA yng Nghymru.¹¹

26. Mae cyffredinolrwydd heintiau *C. difficile* yng Nghymru (1.1 y cant) ychydig dros 50 y cant o'u cyffredinolrwydd yn Lloegr (1.98 y cant). Tra bo gan Gymru yr un cyffredinolrwydd â Gogledd Iwerddon, mae'n uwch nag yn yr Alban (0.82 y cant) a Gweriniaeth Iwerddon (0.5 y cant).¹²
27. Mae'r dystiolaeth o'r arolygon yn awgrymu y cynyddodd mynychder heintiau *C. difficile* yn sylweddol yng Nghymru rhwng 1993 a 2005.¹³ Fodd bynnag, roedd ansawdd y data arolygu yn gyfyngedig yn ystod y cyfnod hwn, ac mae rhaglen arolygu well ar waith erbyn hyn.¹⁴
28. Mae'r data arolygu hefyd wedi dangos mynychder organeddau heintus llai cyfarwydd, gan fod Llywodraeth y Cynulliad yn casglu gwybodaeth am y deg bacteremia mwyaf cyffredin. Mae'n gwneud hyn er mwyn gallu rhoi heintiau cyfarwydd, fel MRSA, yn eu cyd-destun priodol.¹⁵ Mae arolygon wedi dangos bod y deg organedd mwyaf cyffredin sy'n achosi bacteremiâu yn ysbytai Cymru yn organeddau a geir yn y gymuned. Fodd bynnag, yn bumed ar y rhestr mae Klebsiella, sef organedd a gysylltir fel rheol â heintiau a geir yn yr ysbyty.¹⁶ Mae bacteremiâu MRSA yn chweched ar y rhestr, sy'n rhoi sail i'r ddadl y gall canolbwyntio ar MRSA yn unig olygu bod perygl y caiff organeddau eraill eu hanwybyddu, er bod y rheini'n achosi problemau sylweddol iawn i gleifion. Dywedodd Dr Simmons wrthym fod y data hwn yn awgrymu bod mwy o bobl yn debygol o farw o haint Klebsiella neu haint *E. coli* na thrwy MRSA.¹⁷
29. Ymddengys bod heintiau a geir ar ôl llawfeddygaeth yn broblem benodol yng Nghymru.¹⁸ Mae heintiau safleoedd llawfeddygol yn effeithio ar ran o'r corff sydd wedi cael triniaeth llawfeddygol, ac mae 18 y cant o'r holl glefydau sy'n gysylltiedig â gofal iechyd yng Nghymru yn heintiau o'r fath. Mae hon yn gyfran uwch nag yn Lloegr, (13.8 y cant), Gogledd Iwerddon (13.8 y cant) a'r Alban (15.9 y cant), ond yn

¹⁰ Adroddiad Archwilydd Cyffredinol Cymru, paragraff 1.13 a Ffigurau 5 a 6

¹¹ Atodiad A, paragraff 31

¹² Adroddiad Archwilydd Cyffredinol Cymru, paragraff 1.12 a Ffigur 1

¹³ Adroddiad Archwilydd Cyffredinol Cymru, paragraff 1.15 a Ffigur 8

¹⁴ Adroddiad Archwilydd Cyffredinol Cymru, paragraff 1.15

¹⁵ Atodiad A, paragraff 32

¹⁶ Os bydd claf mewnol mewn ysbyty yn datblygu haint mewn llai na 48 awr ar ôl cyrraedd yr ysbyty, dywedir fod yr haint wedi deillio o'r gymuned ac fe'i diffinnir o ganlyniad fel haint a gafwyd yn y gymuned. Dywedir fod heintiau sy'n datblygu ar ôl 48 awr yn yr ysbyty yn heintiau a geir yn yr ysbyty.

¹⁷ Atodiad A, paragraff 33

is nag yng Ngweriniaeth Iwerddon (21.5 y cant).¹⁹ Dangosodd yr Arolwg Cyffredinolrwydd Cenedlaethol hefyd fod cyfran y cleifion sy'n dal heintiau safleoedd llawfeddygol ar ôl triniaeth llawfeddygol yn uwch nag yng ngweddill y DU ac Iwerddon.²⁰ Mae'r rhaglen arolygu genedlaethol yng Nghymru yn golygu bod yn rhaid i ymddiriedolaethau ddatgan cyfraddau heintiau safleoedd llawfeddygol ar ôl pedair gweithred orthopedig benodol. Mae mynychder heintiau safleoedd llawfeddygol ar ôl y gweithredoedd hyn yn uwch nag yn yr Alban a Gogledd Iwerddon.²¹ At hynny, mae mynychder yr heintiau hyn ar ôl toriadau Cesaraid yn sylweddol uwch yng Nghymru nag mewn gwledydd Ewropeaidd eraill, er bod rhai cyfyngiadau wrth gymharu'r data hwn.²² Dywedodd Mrs Lloyd a Dr Simmons wrthym nad yw rhai ymddiriedolaethau yn datgan y wybodaeth angenrheidiol ar gyfer y cynllun arolygu, a bod hyn yn rhan o'r rheswm pam ei bod yn ymddangos bod gan Gymru gyfraddau uwch o ran heintiau safleoedd llawfeddygol.²³ Trafodir y materion hyn ymhellach ym mharagraffau 58 a 59.

Mae'r dull o weithio yng Nghymru wedi dechrau sicrhau'r newid diwylliannol sy'n angenrheidiol er mwyn gwella prosesau atal a rheoli heintiau

30. Penderfynodd Llywodraeth y Cynulliad beidio â phennu targed cenedlaethol ar gyfer lleihau heintiau sy'n gysylltiedig â gofal iechyd. Yn lle hynny, gofynnwyd i ymddiriedolaethau'r GIG ddatblygu eu targedau lleihau heintiau lleol eu hunain, a chofrestru'r rhain gyda'r Gwasanaeth Iechyd Cyhoeddus Cenedlaethol. Yr egwyddor arweiniol yw y dylai penderfyniadau ynghylch targedau lleol gael eu gwneud yn lleol.²⁴ Dywedodd Mrs Lloyd fod targedau sy'n galluogi'r staff sy'n rhoi gwelliannau ar waith i gydnabod a chymryd cyfrifoldeb dros y problemau yn ffordd llawer gwell o weithio na chyfres orfodol o ganllawiau neu dargedau. Dywedodd fod y canlyniadau yng Nghymru erbyn hyn yn dangos mai'r dull lleol hwn oedd y dull cywir.²⁵
31. Mae'r Gwasanaeth Iechyd Cyhoeddus Cenedlaethol yn trefnu cyfarfodydd adborth blynyddol ar leihau heintiau. Bydd timau rheoli heintiau'r holl ymddiriedolaethau yn dod ynghyd i rannu gwybodaeth am eu cynnydd o ran cyrraedd targedau lleol. Er bod

¹⁸ Adroddiad Archwilydd Cyffredinol Cymru, paragraffau 1.17-1.21 a Ffigurau 10-12.

¹⁹ Adroddiad Archwilydd Cyffredinol Cymru, paragraff 1.17

²⁰ Adroddiad Archwilydd Cyffredinol Cymru, paragraff 1.17 a Ffigur 10; ni chofnodwyd y data hwn yn yr Alban.

²¹ Adroddiad Archwilydd Cyffredinol Cymru, paragraff 1.18 a Ffigur 11; nid yw data cymaradwy ar gael ar gyfer gweddill y DU ac Iwerddon.

²² Adroddiad Archwilydd Cyffredinol Cymru, paragraff 1.21

²³ Atodiad A, paragraffau 81-82

²⁴ Atodiad A, paragraff 74

²⁵ Atodiad A, paragraff 120

y system dargedau a'r seminarau yn amhoblogaidd ymysg y timau rheoli heintiau'n wreiddiol, dywedodd Dr Simmons fod brwdfrydedd anhygoel erbyn y drydedd flwyddyn, gyda thimau clinigol, ynghyd â thimau rheoli heintiau canolog, yn dechrau cyfrannu.²⁶

32. Rhoddodd y tystion ragor o dystiolaeth gyffredinol a oedd yn awgrymu bod agweddau'n newid tuag at atal a rheoli heintiau yng Nghymru. Dywedodd Dr Simmons y bu newid sylweddol a'i bod bellach yn gyfnod cyffrous yng Nghymru. Dangoswyd hyn gan y nifer nodedig o Brif Weithredwyr Ymddiriedolaethau'r GIG a oedd yn bresennol mewn cynhadledd a drefnwyd gan Lywodraeth y Cynulliad ym mis Mawrth 2007 ar heintiau sy'n gysylltiedig â gofal iechyd.²⁷
33. Cyfeiriodd Mrs Lloyd at bwysigrwydd cynnwys staff y gwasanaeth iechyd yn ystod camau cyntaf datblygu'r strategaeth genedlaethol, a dywedodd fod ymwybyddiaeth y staff ynghylch pwysigrwydd atal a rheoli heintiau wedi gwella'n ddirfawr.²⁸
34. Dywedodd Dr Simmons fod y Fenter Cleifion Diogelach hefyd wedi cyfrannu at newidiadau yn ymddygiad staff. Dywedodd ei bod yn bwysig sicrhau bod staff yn ymwybodol o heintiau sy'n gysylltiedig â gofal iechyd, a rhoddodd enghraifft ble'r oedd hyn wedi arwain at lefelau uchel o gydymffurfio â phrosesau hylendid dwylo ymysg staff clinigol Ymddiriedolaeth GIG Gofal Iechyd Gwent.²⁹ Honnodd Mr Barnett fod agwedd ei staff clinigol wedi cyfrannu'n sylweddol at wella prosesau atal a rheoli heintiau yn ei Ymddiriedolaeth.³⁰
35. Mae'r strategaeth genedlaethol yn pwysleisio y dylai pawb gymryd cyfrifoldeb dros leihau heintiau sy'n gysylltiedig â gofal iechyd, gan gynnwys cleifion, perthnasau ac ymwelwyr eraill â'r ysbyty.³¹ Dywedodd Mr Barnett fod ei Ymddiriedolaeth wedi gweld gwelliannau graddol drwy bwysleisio'r neges hon yn y gymuned a thrwy'r cyfryngau.³² Dywedodd Mrs Lloyd fod ymwelwyr ag ysbytai bellach yn defnyddio'r gel dwylo a ddarperir ar y wardiau, a chanmolodd yr ymgyrch 'glanhewch eich dwylo' am wella'r ymwybyddiaeth hon ymysg ymwelwyr ag ysbytai.³³
36. Mae dystiolaeth bod dull eang Llywodraeth y Cynulliad o fynd i'r afael â'r holl heintiau sy'n gysylltiedig â gofal iechyd wedi annog ymddiriedolaethau i feithrin agwedd

²⁶ Atodiad A, paragraff 125

²⁷ Atodiad A, paragraff 210

²⁸ Atodiad A, paragraffau 15 a 22

²⁹ Atodiad A, paragraff 149

³⁰ Atodiad A, paragraff 20

³¹ Atodiad A, paragraff 208

³² Atodiad A, paragraff 72

³³ Atodiad A, paragraff 146

holistig debyg, ac mae'r ymddiriedolaethau hyn wedi sefydlu nifer o'r strwythurau a nodir yn y strategaeth genedlaethol er mwyn ymgorffori'r prosesau atal a rheoli heintiau.³⁴ Dywedodd Mr Barnett fod ei Ymddiriedolaeth yn gweithio mewn sawl ffordd i leihau heintiau sy'n gysylltiedig â gofal iechyd, gan gynnwys buddsoddi mewn glanhau dwfn, penodi fferylllydd gwrthfotig, sgrinio cleifion dewisol yn well wrth wahanu'r gwaith dewisol a'r gwaith brys mewn Llawdriniaeth Gyffredinol ac Orthopedeg, gwella cyfleusterau ynysu a rhaglen o archwiliadau rheoli heintiau rheolaidd.³⁵

37. Mae'r cynnydd a wnaed yng Nghymru wedi'i gydnabod mewn seremoni wobrau genedlaethol. Dywedodd Dr Simmons wrthym mai o Gymru oedd dwy o'r pum ymddiriedolaeth a gystadlodd yn rownd derfynol gwobr rheoli heintiau y Nursing Times yn 2007, ac mai'r enillwyr oedd Ymddiriedolaeth GIG Abertawe.³⁶

Er gwaethaf y cynnydd ymddangosiadol, nid yw pawb yn credu hyd yma eu bod yn gyfrifol am atal a rheoli heintiau

38. Er gwaethaf y dystiolaeth gadarnhaol am ddechrau newid diwylliannol, mae'n amlwg nad yw pawb yn credu eu bod yn gyfrifol am atal a rheoli heintiau, a bod y broses o dderbyn perchnogaeth dros heintiau sy'n gysylltiedig â gofal iechyd yn amrywio ymhlith ymddiriedolaethau. Mae angen i GIG Cymru ofalu nad yw'n bodloni ar y safonau, a gweithio i sicrhau ymwybyddiaeth ehangach o gyfrifoldeb personol dros reoli heintiau. Cyfeiriodd adroddiad yr Archwilydd Cyffredinol at achosion o ddiffyg ymgysylltu â chlinigwyr, ac roedd Mr Barnett yn cydnabod bod lefelau cydymffurfio â phrosesau hylendid dwylo yn amrywio ymysg staff.³⁷ Rydym yn cytuno â Dr Simmons bod atal a rheoli heintiau yn gyfrifoldeb ar bawb, ond mae'n fater hanfodol ar gyfer staff, megis clinigwyr, sy'n symud o glaf i glaf.³⁸ Ac mae Mrs Lloyd yn hollol gywir wrth ddweud nad oes gan glinigwyr esgus i beidio ag arwain o'r blaen wrth geisio lleihau heintiau sy'n gysylltiedig â gofal iechyd.³⁹
39. Mae addysg a hyfforddiant effeithiol yn hanfodol er mwyn ymgysylltu â staff wrth leihau heintiau sy'n gysylltiedig â gofal iechyd. Mae rhaglen e-ddysgu Llywodraeth y Cynulliad ar heintiau sy'n gysylltiedig â gofal iechyd wrthi'n cael ei chyflwyno drwy Gymru, ond derbyniodd Dr Simmons nad yw darparu hyfforddiant yn ei hun yn

³⁴ Adroddiad Archwilydd Cyffredinol Cymru, paragraffau 2.5-2.11

³⁵ Atodiad A, paragraffau 67-70

³⁶ Atodiad A, paragraff 125

³⁷ Adroddiad Archwilydd Cyffredinol Cymru, paragraffau 3.6-3.7 ac Atodiad A, paragraff 144

³⁸ Atodiad A, paragraff 150

³⁹ Atodiad A, paragraff 148

sicrhau newidiadau cynaliadwy mewn arferion atal heintiau.⁴⁰ Dywedodd na fyddai hyfforddiant gorfodol mewn atal a rheoli heintiau o anghenraid yn gweithio, ond y dylai pob hyfforddiant fod yn seiliedig ar yr anghenion, gan dargedu'r ardaloedd a'r unigolion sydd ei angen fwyaf.⁴¹ Pwysleisiodd Mr Barnett nad yw sicrhau ymgysylltiad staff wrth atal a rheoli heintiau yn rhywbeth y gellir ei gyflawni ac yna'i anghofio; mae angen ail-bwysleisio'r neges yn gyson er mwyn sicrhau gwelliannau gwirioneddol mewn arferion rheoli heintiau.⁴² Mae Llywodraeth y Cynulliad yn bwriadu adnewyddu ei strategaeth genedlaethol ar gyfer mynd i'r afael â heintiau sy'n gysylltiedig â gofal iechyd er mwyn cyfuno hynny â'i strategaeth ar gyfer heintiau cymunedol, a gyhoeddwyd yn ddiweddar. Cytunwn fod angen gwneud diwygiadau i fanteisio ymhellach ar y cynnydd sydd wedi'i wneud, a hynny er mwyn adlewyrchu canfyddiadau ac argymhellion yr adroddiad hwn ac adroddiad yr Archwilydd Cyffredinol, ynghyd â sicrhau newid diwylliannol ehangach.⁴³

40. Mae arweinyddiaeth gref ar lefel y ward yn bwysig er mwyn pwysleisio negeseuon am hylendid dwylo a glanweithdra amgylcheddol. Mae rheolwyr wardiau yn allweddol er mwyn sicrhau lefelau hylendid uchel mewn ardaloedd clinigol ac roeddem yn falch o glywed y cyhoeddir adroddiad yn y misoedd nesaf ar y gwaith a ddechreuwyd gan y Gweinidog mewn perthynas ag arweinyddiaeth ar wardiau.⁴⁴
41. Mae arweinyddiaeth gorfforaethol yr un mor bwysig os yw ymddiriedolaethau'r GIG i ddangos ymrwymiad gwirioneddol i leihau heintiau sy'n gysylltiedig â gofal iechyd. Er bod yn rhaid i ymddiriedolaethau gydbwysu pob agwedd o'u busnes, ni ddylid ceisio cyrraedd targedau gweithredu a thargedau ariannol ar draul diogelwch cleifion, gan esgeuluso'r gwaith o atal a rheoli heintiau.⁴⁵ Mae'n arbennig o bwysig bod atal a rheoli heintiau yn dal yn flaenoriaeth uchel yn ystod ac ar ôl y broses bresennol o uno ymddiriedolaethau.⁴⁶ Un peth a fydd yn amlygu hyn fydd cymhathu'r targedau rheoli heintiau, y staff a'r prosesau rhwng yr ymddiriedolaethau sy'n uno, a'r angen i Lywodraeth y Cynulliad ystyried effaith yr uno wrth adnewyddu ei strategaeth.

⁴⁰ Atodiad A, paragraffau 212 a 220

⁴¹ Atodiad A, paragraff 216

⁴² Atodiad A, paragraff 72

⁴³ Atodiad A, paragraff 17

⁴⁴ Atodiad A, paragraff 146

⁴⁵ Atodiad A, paragraffau 140-142

⁴⁶ Atodiad A, paragraff 205

Mae gwendidau mewn prosesau ysbytai ynghyd â chapasiti a chyfleusterau amhriodol yn effeithio ar yr ymdrechion i leihau heintiau sy'n gysylltiedig â gofal iechyd

Mae'r safonau glanhau ac arferion eraill sy'n gymorth i sicrhau diogelwch cleifion yn amrywio

42. Er bod y cysylltiadau penodol rhwng glanweithdra a rhai heintiau sy'n gysylltiedig â gofal iechyd yn aneglur, gall diffyg glanweithdra fod yn ffactor sy'n cyfrannu at ledaenu heintiau *C. difficile*.⁴⁷ Mae'r cyhoedd hefyd yn pryderu os nad yw ysbytai'n lân, a gall safonau glanweithdra gwael effeithio ar hyder y cyhoedd yn y gwasanaeth iechyd, ynghyd â'u profiad ohono.⁴⁸
43. Mae'r trefniadau cenedlaethol ar gyfer monitro glanweithdra amgylcheddol yn ysbytai Cymru yn cynnwys asesiad sylfaenol sy'n cael ei gyflawni gan Arolygiaeth Gofal Iechyd Cymru. Mae disgwyl i'r adolygiad sylfaenol gael ei gyhoeddi yn ddiweddarach yn 2008.⁴⁹ Cyfeiriodd Mrs Lloyd hefyd at waith gwerthfawr y Cynghorau Iechyd Cymuned wrth asesu'r broses o roi hanfodion gofal ar waith.⁵⁰
44. Mae rhai ymddiriedolaethau'n mabwysiadu amrywiaeth eang o arferion da o ran glanhau amgylcheddol. Rhoddodd Mr Barnett fanylion am y camau y mae ei ymddiriedolaeth yn eu cymryd i wella glanweithdra amgylcheddol. Mae hyn wedi cynnwys buddsoddi mewn glanhau dwfn, adnewyddu cyson a chyflwyno technolegau glanhau newydd fel glanhau â stêm a systemau microffibr.⁵¹ Roedd adroddiad yr Archwilydd Cyffredinol hefyd yn cynnwys enghreifftiau o ymddiriedolaethau'n defnyddio arferion da i sicrhau bod amgylchedd y claf yn lleihau heintiau sy'n gysylltiedig â gofal iechyd.⁵²
45. Er gwaethaf yr enghreifftiau hyn o arferion da, mae gan rai ymddiriedolaethau ddiffygion sylfaenol o ran glanweithdra. Cynhaliodd Arolygiaeth Gofal Iechyd Cymru archwiliadau rheoli heintiau ar hap mewn pedair o ymddiriedolaethau'r GIG, gan ganfod problemau chynnal a chadw sylfaenol. Roedd y rhain yn cynnwys safonau glanhau amrywiol, arferion amrywiol wrth gael gwared ar wastraff, dim digon o amser i roi hyfforddiant glanweithdra, a phroblemau gydag arferion glanweithdra mewn ceginau ar wardiau.⁵³

⁴⁷ Atodiad A, paragraff 64

⁴⁸ Adroddiad Archwilydd Cyffredinol Cymru, paragraff 3.25 a Blwch 7

⁴⁹ Atodiad A, paragraff 76

⁵⁰ Atodiad A, paragraff 162

⁵¹ Atodiad A, paragraffau 67-68

⁵² Adroddiad Archwilydd Cyffredinol Cymru, astudiaethau achos G ac I

⁵³ Adroddiad Archwilydd Cyffredinol Cymru, paragraff 3.10

46. Problem benodol yw storio cyfarpar mewn mannau clinigol a'r anawsterau cysylltiedig wrth lanhau o'u hamgylch. Dywedodd Mrs Lloyd fod y problemau yn deillio o beidio â chynnwys digon o fannau storio wrth gynllunio wardiau yn y 1980au, pan arweiniodd newidiadau wrth gynllunio ysbytai at tua 35% yn llai o fannau storio a mannau lle nad oes cleifion. Dywedodd fod hyn wedi arwain at annibendod, a chyfeiriodd at achosion o lwch yn hel mewn corneli a dim digon o waith glanhau rheolaidd. Dywedodd Mrs Lloyd fod angen manteisio ar y rhaglen adeiladu cyfalaf bresennol yng Nghymru er mwyn edrych mewn ffordd fwy creadigol ar reoli cyfarpar.⁵⁴
47. Argymhellodd yr Archwilydd Cyffredinol y dylai glanhawyr fod yn rhan o dimau ward cyn belled ag y mae hynny'n bosibl.⁵⁵ Roedd ei adroddiad yn cynnwys tystiolaeth bod gwell perchnogaeth o safonau glanweithdra pan fydd glanhawyr yn rhan o dimau ward.⁵⁶ Dywedodd Mr Barnett fod y staff glanhau a'r staff cynnal a chadw yn rhan ganolog o dîm y ward yn ei Ymddiriedolaeth ef.⁵⁷
48. Trafododd gwaith yr Archwilydd Cyffredinol bryderon ynghylch problemau gyda pherchnogaeth, morâl a rheolaeth linell ddryslud pan fydd gwaith glanhau'n cael ei roi ar gontract.⁵⁸ Dywedodd Mrs Lloyd mai dim ond tri ysbyty bach yng Nghymru sy'n rhoi gwaith glanhau ar gontract, ond er gwaethaf y contractau hyn, pwysleisiodd ei bod yn bwysig goruchwyllo'r gwaith glanhau.⁵⁹
49. Cytunwn fod goruchwyllo gwaith glanhau yn effeithiol yn hanfodol er mwyn cynnal safonau uchel, ac roeddem yn falch o glywed bod y Gweinidog wedi dechrau gwaith sy'n edrych ar gyfrifoldeb ac arweinyddiaeth mewn wardiau.⁶⁰ Dywedodd Mr Barnett mai'r rheolwyr wardiau yn ei Ymddiriedolaeth sy'n gyfrifol am yr hyn sy'n digwydd ar y ward, gan gynnwys glanhau. Dywedodd fod y rheolwyr wardiau yn cael hyfforddiant ar gyfer archwilio safonau glanweithdra, a'u bod yn gweithio'n agos â'r staff cynnal a chadw ac yn creu'r rhaglenni gwaith ar gyfer yr aelodau hyn o staff.⁶¹
50. Ynghyd â chymau i wella prosesau glanhau, mae GIG Cymru wrthi'n ceisio gwella prosesau eraill mewn ysbytai i leihau'r risg o heintiau sy'n gysylltiedig â gofal iechyd. Er enghraifft, mae'r Gweinidog wedi gofyn i'r Prif Swyddog Nyrsio sefydlu grŵp gorchwyl a gorffen i edrych ar effaith bosibl gwisgoedd a dillad staff ar gyfraddau

⁵⁴ Atodiad A, paragraffau 161 a 162

⁵⁵ Adroddiad Archwilydd Cyffredinol Cymru, argymhelliad 4

⁵⁶ Adroddiad Archwilydd Cyffredinol Cymru, paragraff 3.30

⁵⁷ Atodiad A, paragraff 159

⁵⁸ Adroddiad Archwilydd Cyffredinol Cymru, paragraff 3.30

⁵⁹ Atodiad A, paragraff 171

⁶⁰ Atodiad A, paragraff 146

⁶¹ Atodiad A, paragraff 159

heintiau.⁶² Er na chanfu'r Archwilydd Cyffredinol dystiolaeth bendant bod gwisgoedd staff yn achosi perygl sylweddol wrth ledaenu heintiau, mae'r cyhoedd yn tybio bod risg.⁶³

51. Mae rhai ymddiriedolaethau bellach yn gwella'u prosesau ysbytai drwy roi'r Fenter Cleifion Diogelach ar waith.⁶⁴ Nod y fenter hon yw gwella diogelwch cleifion drwy well arferion clinigol fel bwndeli gofal. Mae'r rhain yn cyfuno nifer o elfennau sy'n ymwneud â gofal cleifion i greu un rhestr gyfeirio o gamau gweithredu y bydd staff clinigol yn eu cymryd yn ddyddiol i wella canlyniadau clinigol.⁶⁵ Dywedodd Mrs Lloyd fod bwndeli gofal wedi'u datblygu trwy Gymru yn ystod y ddwy flynedd ddiwethaf, a bod y Fenter Cleifion Diogelach wedi gweithio'n hynod o dda.⁶⁶ Mae'r dull bwndeli gofal hyd yn hyn wedi canolbwyntio ar unedau gofal dwys, ac mae potensial i ehangu i leoliadau eraill.⁶⁷ Mae hyn yn adlewyrchu pwysigrwydd allweddol rheoli materion diogelwch cleifion ar sail strategol. Cytunwn â Mrs Lloyd ynghylch pwysigrwydd canolbwyntio ar ddiogelwch cleifion a safoni gofal er mwyn lleihau anghydraddoldebau a sicrhau bod cleifion a gofalfwr yn gwybod yn union beth sy'n eu hwynebu pan fyddant yn defnyddio gwasanaeth cymunedol neu wasanaeth ysbyty.⁶⁸

Mae capasiti a chyfleusterau annigonol yn amharu ar y gwaith o reoli heintiau'n effeithiol mewn rhai ysbytai

52. Mae arbenigedd nyrsys rheoli heintiau a microbiolegwyr yn hanfodol er mwyn atal a rheoli heintiau'n effeithiol mewn ysbytai. Mae meincnodau a ddatblygwyd drwy ymchwil Americanaidd wedi awgrymu bod angen un nyrs rheoli heintiau ar gyfer pob 250 o welyau ysbytai aciwt. Mae meincnod arall yn awgrymu y dylai'r gymhareb fod yn 1:100. Fodd bynnag, mae defnyddio'r meincnodau yng Nghymru yn anodd gan fod y timau rheoli heintiau'n gyfrifol am welyau mewn ysbytai cymunedol ynghyd â gwelyau mewn lleoliadau aciwt.⁶⁹
53. Cyfeiriodd yr Archwilydd Cyffredinol at yr amrywiad eang yn y gymhareb rhwng nifer y nyrsys sy'n rheoli heintiau a phob gwely aciwt yn ymddiriedolaethau Cymru.⁷⁰ Mae amrywiad hefyd drwy Gymru o ran faint o amser y gall microbiolegwyr ymgynghorol

⁶² Atodiad A, paragraff 229

⁶³ Atodiad A, paragraff 3.18 a Blwch 6

⁶⁴ Adroddiad Archwilydd Cyffredinol Cymru, astudiaeth achos O

⁶⁵ Adroddiad Archwilydd Cyffredinol Cymru, paragraff 3.94

⁶⁶ Atodiad A, paragraff 235

⁶⁷ Adroddiad Archwilydd Cyffredinol Cymru, paragraff 3.95

⁶⁸ Atodiad A, paragraff 236

⁶⁹ Adroddiad Archwilydd Cyffredinol Cymru, paragraff 3.77

⁷⁰ Adroddiad Archwilydd Cyffredinol Cymru, paragraff 3.76 a Ffigur 16

ei neilltuo ar gyfer rheoli heintiau, ac nid yw rhai ymddiriedolaethau'n gallu defnyddio digon o'r amser arbenigol hwn.⁷¹ Dywedodd Mrs Lloyd ei bod wedi gofyn i dimau datblygu gweithlu Llywodraeth y Cynulliad edrych ar y meincnodau Americanaidd er mwyn asesu maint delfrydol staff y timau rheoli heintiau, gan ystyried anghenion a thargedau lleol pob sefydliad. Yn hyn o beth, roedd Mrs Lloyd yn disgwyl y byddai'r cynigion i ddatblygu'r gweithlu yn cael eu cyhoeddi ym mis Hydref.⁷²

54. Mae angen i ymddiriedolaethau hefyd ddatblygu digon o gapasiti i ynysu cleifion sydd wedi'u heintio. Gall rhoi cleifion sydd wedi'u heintio mewn ystafelloedd ysbyty sengl fod yn ffordd effeithiol o atal heintiau sy'n gysylltiedig â gofal iechyd rhag cael eu trosglwyddo. Dangosodd adolygiad o gyfleusterau ynysu a wnaed gan Ystadau Iechyd Cymru yn 2005 nad oedd dau draean o'r holl ystafelloedd sengl a oedd i fod â phwysedd aer negyddol er mwyn atal organeddau a gludir yn yr awyr yn addas i'r diben. Canfu'r Archwilydd Cyffredinol hefyd gryn anfodlonrwydd gyda'r cyfleusterau ynysu a oedd ar gael. Cyhoeddodd Llywodraeth y Cynulliad argymhellion ym mis Awst 2006, gyda'r nod o wella ymarferoldeb yr ystafelloedd hyn.⁷³
55. Er bod y problemau wedi bod yn hysbys ers tro, dim ond ychydig o gynnydd sydd wedi'i wneud yn y blynyddoedd diwethaf wrth wella cyfleusterau ynysu. Dywedodd Mrs Lloyd wrthym fod rhai ymddiriedolaethau wedi cymryd camau i greu rhagor o ystafelloedd sengl ar wardiau, gan ddefnyddio dulliau rheoli heintiau fel awyru gwrth-bwysedd. Serch hynny, cymysg fu'r cynnydd drwy Gymru. Am y rheswm hwn, mae Mrs Lloyd wedi gofyn i Ystadau Iechyd Cymru gynnal ail adolygiad o'r cyfleusterau hyn. Roeddem yn falch o glywed Mrs Lloyd yn dweud y bydd Llywodraeth y Cynulliad yn ceisio gofalu bod adnoddau ar gael yn y rhaglen gyfalaf ar gyfer y sefydliadau sy'n methu â chydymffurfio â'r norm o ran cynllunio cyfleusterau a sicrhau bod digon ohonynt ar gael. Drwy wneud hyn, byddant yn cydymffurfio â'r arferion modern o ran ynysu cleifion a hyblygrwydd wrth eu rheoli. Mae'n bwysig hefyd bod ymddiriedolaethau yn ystyried defnyddio'u cyfalaf dewisol i sicrhau bod digon o gyfleusterau ynysu ar gael.⁷⁴

⁷¹ Adroddiad Archwilydd Cyffredinol Cymru, paragraff 3.79

⁷² Atodiad A, paragraff 207

⁷³ Adroddiad Archwilydd Cyffredinol Cymru, paragraffau 3.71-3.74

⁷⁴ Atodiad A, paragraffau 196 a 197

Mae ansawdd y wybodaeth am heintiau sy'n gysylltiedig â gofal iechyd yn gwella ond mae bylchau'n parhau

Mae'r gwaith o gydymffurfio â'r cynlluniau arolygu yn gwella, ond nid yw'n ddigon da yn gyffredinol, ac nid yw pob cynllun yn cynhyrchu canlyniadau sy'n golygu bod modd cymharu â gwledydd eraill

56. Mae'r gwaith o arolygu heintiau sy'n gysylltiedig â gofal iechyd yn cynnwys casglu gwybodaeth am gyfraddau heintiau a'u tueddiadau. Mae'r wybodaeth hon yn bwysig wrth ganfod risgiau rheoli heintiau, pennu blaenoriaethau a thargedau, cynllunio gwasanaethau a gwerthuso cynnydd.⁷⁵
57. Mae gan y rhan fwyaf o ymddiriedolaethau Cymru eu trefniadau arolygu lleol eu hunain. Maent yn defnyddio data arolygu i fonitro'r mathau o heintiau sy'n risg benodol yn eu hysbytai. At hynny, mae Llywodraeth y Cynulliad wedi datblygu rhaglen arolygu genedlaethol sy'n golygu bod yn rhaid i ymddiriedolaethau gyflwyno data amrywiol i'r Gwasanaeth Iechyd Cyhoeddus Cenedlaethol.⁷⁶ Dywedodd Mrs Lloyd wrthym fod y gofynion o ran arolygu wedi'u diffinio'n bendant, sydd wedi arwain at wybodaeth llawer cywirach am gyfraddau heintiau mewn ysbytai a ffyrdd gwell o reoli heintiau sy'n gysylltiedig â gofal iechyd.⁷⁷ Roeddem yn croesawu sylw Dr Simmons ei fod yn credu mai Cymru yw'r unig wlad yn y byd sy'n cofnodi'r holl eitemau data unigol sy'n berthnasol i'w labordai microbioleg.⁷⁸ Er hynny, dywedodd Dr Simmons fod yr Is-grŵp Heintiau sy'n Gysylltiedig â Gofal Iechyd yng Nghymru (WHAISG) yn chwilio'n barhaol am ffyrdd o wella gwaith arolygu heintiau sy'n gysylltiedig â gofal iechyd, a bod angen cyflwyno mwy o gynlluniau arolygu ar yr ochr glinigol er mwyn cael data cadarn yn seiliedig ar gleifion, llawfeddygon ac ysbytai unigol.⁷⁹
58. Mae lle i wella hefyd o ran sut mae ymddiriedolaethau'n cydymffurfio â'r gofynion cenedlaethol.⁸⁰ Roedd Mrs Lloyd yn cydnabod bod meysydd ble nad yw'r arolygu gorfodol yn mynd rhagddo, a bod problemau penodol i'w cael wrth sicrhau y cydymffurfir â'r cynllun arolygu yn achos heintiau safleoedd llawfeddygol orthopedig.⁸¹ Dim ond 37 y cant o'r gweithdrefnau orthopedig perthnasol a gafodd eu datgan ar gyfer y cynllun arolygu yn 2005.⁸² Dywedodd Mrs Lloyd fod y

⁷⁵ Adroddiad Archwilydd Cyffredinol Cymru, paragraff 3.33

⁷⁶ Adroddiad Archwilydd Cyffredinol Cymru, paragraffau 3.33 a 3.34

⁷⁷ Atodiad A, paragraff 14

⁷⁸ Atodiad A, paragraff 43

⁷⁹ Atodiad A, paragraff 40

⁸⁰ Adroddiad Archwilydd Cyffredinol Cymru, paragraffau 3.36-3.39

⁸¹ Atodiad A, paragraffau 18 a 81

⁸² Adroddiad Archwilydd Cyffredinol Cymru, paragraff 3.38

Gwasanaeth Iechyd Cyhoeddus Cenedlaethol wedi bod yn gweithio ar y cyd â llawfeddygon orthopedig i sicrhau gwell cydymffurfio, a hynny drwy symleiddio'r dogfennau adrodd a pheidio â dyblygu gwaith drwy gyflwyno gwybodaeth o'r fath ar gyfer cynlluniau eraill sy'n edrych ar oblygiadau'r defnydd o brostheteg.⁸³

59. Cyfeiriodd Mr Barnett at y gwelliant sylweddol yn ei Ymddiriedolaeth wrth gydymffurfio â'r rhaglen arolygu safleoedd heintiau llawfeddygol orthopedig. Tra mai dim ond 20 y cant o'r gweithredoedd orthopedig perthnasol a gafodd eu datgan gan Ymddiriedolaeth GIG Sir Gaerfyrddin yn 2005, dywedodd Mr Barnett fod 78 y cant o'r rheini bellach yn cael eu datgan. Cyflawnwyd hyn drwy greu grŵp gorchwyl a gorffen i edrych yn benodol ar y broses o gydymffurfio â'r cynllun arolygu. Roedd un o'r llawfeddygon orthopedig yn cadeirio'r grŵp, a chynorthwyodd hynny i sicrhau cyfraniad a pherchnogaeth glinigol. Datblygodd y grŵp fap proses a oedd yn dangos diffygion yn y trefniadau adrodd, gan sicrhau bod yr adran wybodaeth yn rhoi sylwadau prydlon am gyfraddau cydymffurfio i'r grŵp, fel bod gwell perchnogaeth o ddata. Roedd Mr Barnett yn cydnabod nad oedd 78 y cant yn dal yn foddhaol, a bod yr amrywiad presennol yn y cyfraddau cydymffurfio rhwng ymddiriedolaethau yng Nghymru yn dangos bod angen gwneud llawer mwy i wella'r data arolygu cenedlaethol.⁸⁴
60. Mae'r strategaeth genedlaethol ar gyfer heintiau sy'n gysylltiedig â gofal iechyd yn nodi mai un o amcanion y rhaglen arolygu yw cynhyrchu data y gellir ei gymharu â gwledydd eraill. Tra bo cytundeb rhwng y gwledydd Celtaidd wedi golygu bod modd cymharu data heintiau safleoedd llawfeddygol orthopedig yn uniongyrchol rhwng Cymru, yr Alban a Gogledd Iwerddon, a thra bo'r Trydydd Arolwg Cyffredinolrwydd Cenedlaethol wedi darparu data cymaradwy ar gyfer y DU ac Iwerddon (ac eithrio'r Alban), nid oes modd cymharu elfennau o'r rhaglen yng Nghymru yn uniongyrchol â gwledydd eraill.⁸⁵ Er enghraifft, byddai cymariaethau uniongyrchol yn arbennig o ddefnyddiol wrth geisio canfod a oes unrhyw wersi y gellir eu dysgu drwy ddull Gweriniaeth Iwerddon o atal a rheoli heintiau, ble mae cyffredinolrwydd heintiau sy'n gysylltiedig â gofal iechyd yn is nag yn y DU.
61. Mae angen gwneud gwell defnydd o'r wybodaeth sydd eisoes wedi'i chasglu yng Nghymru. Dywedodd Dr Simmons ei fod yn credu mai Cymru yw'r unig wlad yn y byd

⁸³ Atodiad A, paragraff 81

⁸⁴ Adroddiad Archwilydd Cyffredinol Cymru, Ffigurau 14 a 15, ac Atodiad A, paragraffau 84-86

⁸⁵ Adroddiad Archwilydd Cyffredinol Cymru, paragraffau 1.1, 1.18-1.19 ac 1.21

sy'n cofnodi'r holl eitemau data unigol sy'n berthnasol i'w labordai microbioleg.⁸⁶ Dim ond heintiau *C. difficile* ymysg cleifion sydd dros 65 oed y mae'r rhaglen arolygu genedlaethol yn eu hystyried, tra bo data labordy yn cynnwys gwybodaeth am gleifion o bob oed sy'n cael prawf positif am yr heintiau hyn. Dywedodd Dr Simmons fod WHAISG wrthi'n arolygu'r Timau Rheoli Heintiau i ganfod i ba raddau y maent yn monitro heintiau *C. difficile* ymysg cleifion sydd o dan 65 oed.⁸⁷

Nid yw'r wybodaeth a gofnodir ar dystysgrifau marwolaeth yn ffynhonnell ddibynadwy wrth bennu i ba raddau y mae heintiau sy'n gysylltiedig â gofal iechyd wedi arwain at farwolaeth

62. Rhwng 2001 a 2005, mae data'r Swyddfa Ystadegau Gwladol yn dangos y bu cynnydd yn nifer y tystysgrifau marwolaeth a gyfeiriai at MRSA neu *C. difficile*. Fodd bynnag, mae tystysgrifau marwolaeth ar hyn o bryd yn annibynadwy wrth ddangos a yw heintiau sy'n gysylltiedig â gofal iechyd wedi cyfrannu at farwolaeth person.⁸⁸
63. Roedd Mrs Lloyd yn cytuno bod y manylion sydd ar dystysgrifau marwolaeth yn fater o farn glinigol unigolion ynghylch a yw haint sy'n gysylltiedig â gofal iechyd wedi cyfrannu at achosi marwolaeth. O ganlyniad, mae'r wybodaeth yn fesur goddrychol iawn ar hyn o bryd.⁸⁹ Dywedodd Dr Simmons ei bod yn debyg y byddai cyflwyno a defnyddio canllawiau canolog ar gyfer llenwi tystysgrifau marwolaeth yn gwella cadernid y data, ond mae'r mater yn un cymhleth gan nad yw wedi'i ddatganoli a'i fod o dan awdurdodaeth y Swyddfa Gartref ar hyn o bryd.⁹⁰

Mae pob ymddiriedolaeth GIG wedi datblygu prosesau sgrinio heintiau sy'n seiliedig ar asesiadau risg lleol

64. Sgrinio yw cynnal profion microbiolegol systematig ar bobl i weld a oes ganddynt organedd heintus yn eu cyrff. Y nod yw canfod cleifion sydd wedi'u cytrefu ag organedd heintus ac sydd â risg o ddal haint neu drosglwyddo haint. Canfu'r Archwilydd Cyffredinol fod gan bob ymddiriedolaeth brosesau sgrinio ffurfiol, a thra bo'r rhain yn bennaf yn profi am MRSA, mae amrywiad yn y protocolau sgrinio sy'n seiliedig ar asesiadau risg lleol.⁹¹
65. Nid yw Llywodraeth y Cynulliad wedi rhoi canllawiau canolog ynghylch sgrinio am heintiau sy'n gysylltiedig â gofal iechyd. O ganlyniad, mae'r rhan fwyaf o

⁸⁶ Atodiad A, paragraff 43

⁸⁷ Atodiad A, paragraff 35

⁸⁸ Adroddiad Archwilydd Cyffredinol Cymru, paragraff 1.24

⁸⁹ Atodiad A, paragraffau 106 a 108

⁹⁰ Atodiad A, paragraffau 110 a 111

⁹¹ Adroddiad Archwilydd Cyffredinol Cymru, paragraff 2.21

yymddiriedolaethau wedi defnyddio canllawiau'r Gymdeithas Heintiau Ysbyty ar gyfer y DU, ynghyd ag asesiadau risg lleol, wrth ddatblygu eu protocolau sgrinio.⁹²

66. Dywedodd Mr Barnett y byddai'n croesawu canllawiau gan Lywodraeth y Cynulliad, ond pwysleisiodd ei bod yn bwysig bod ymddiriedolaethau'n gallu datblygu eu protocolau lleol eu hunain yn seiliedig ar yr organeddau a'r mathau o heintiau sy'n achosi'r risg fwyaf yn eu hysbytai.⁹³ Mae Llywodraeth y Cynulliad wedi gofyn i'r Gwasanaeth Iechyd Cyhoeddus Cenedlaethol ddarparu datganiad am sgrinio yng Nghymru yn y dyfodol, ond dywedodd Dr Simmons ei bod yn bosibl y gallai'r datganiad hwn amlygu pwysigrwydd sgrinio yn seiliedig ar asesiadau risg lleol.⁹⁴
67. Dywedodd Dr Simmons wrthym fod yr Alban yn ystyried sgrinio'i holl gleifion ysbyty am MRSA ac mae'n buddsoddi mewn cynllun peilot i brofi effeithiolrwydd y dull cyffredinol hwn. Fodd bynnag, mae pryderon am gadernid y data sy'n sail i hyn, ac mae'r Gwasanaeth Iechyd Cyhoeddus Cenedlaethol yng Nghymru nawr yn defnyddio data gan yr Americanwyr i asesu effaith sgrinio cyffredinol ymhellach. Dywedodd na fyddai'n ddoeth i Gymru newid ei system sgrinio tan bod y cynllun peilot yn yr Alban wedi dangos pa mor effeithiol yw'r dull cyffredinol.⁹⁵

Ni all ymddiriedolaethau'r GIG wneud penderfyniadau gwybodus ynghylch atal a rheoli heintiau gan nad ydynt yn casglu gwybodaeth ddibynadwy am gostau heintiau sy'n gysylltiedig â gofal iechyd

68. Mae canlyniadau heintiau sy'n gysylltiedig â gofal iechyd yn ddifrifol ac ar adegau'n drasig i gleifion sy'n dal heintiau. Gall yr effaith ar ymddiriedolaethau'r GIG hefyd fod yn sylweddol. Mae heintiau sy'n gysylltiedig â gofal iechyd yn arwain at gostau ariannol uniongyrchol o ganlyniad i'r gofal a'r triniaethau y mae ar gleifion sydd wedi'u heintio eu hangen. Mae costau cyfle hefyd gan fod cleifion sydd wedi'u heintio angen gwelyau y gellid eu defnyddio fel arall ar gyfer cleifion sy'n aros am driniaeth a gynllunliwyd.
69. Mae'r Gwasanaeth Iechyd Cyhoeddus Cenedlaethol wedi amcangyfrif bod heintiau sy'n gysylltiedig â gofal iechyd yn costio £50 miliwn y flwyddyn yng Nghymru.⁹⁶ Er gwaethaf y gost sylweddol hon, nid yw'r rhan fwyaf o ymddiriedolaethau'r GIG yn casglu gwybodaeth yn rheolaidd am effeithiau ariannol heintiau sy'n gysylltiedig â gofal iechyd ar ddarpariaeth gwasanaeth. Mae'r prinder gwybodaeth hwn ynghylch costau yn ei gwneud yn anodd i ymddiriedolaethau ddatblygu achosion busnes

⁹² Adroddiad Archwilydd Cyffredinol Cymru, paragraff 2.18

⁹³ Atodiad A, paragraff 135

⁹⁴ Atodiad A, paragraff 137

⁹⁵ Atodiad A, paragraff 131

cadarn i gyfiawnhau manteision buddsoddi rhagor mewn adnoddau atal a rheoli heintiau.⁹⁷

70. Dywedodd Mr Barnett fod ei Ymddiriedolaeth wedi gwneud gwaith penodol i ddadansoddi costau heintiau sy'n gysylltiedig â gofal iechyd, a thrwy hynny wedi datblygu achos busnes llwyddiannus i nodi costau a manteision posibl buddsoddi rhagor mewn atal a rheoli heintiau. Trefnodd Mr Barnett fod y gwaith hwn yn cael ei wneud wrth ymateb i nifer o achosion yn ei Ymddiriedolaeth ddwy flynedd a hanner yn ôl. Roedd ei gyfarwyddwr cyllid wedi amcangyfrif bod costau blynyddol yr achosion hyn yn £72,000, ac ar y sail honno penderfynodd yr Ymddiriedolaeth y byddai manteision sylweddol o gyflogi fferylllydd gwrthfotig a chydlynedd rheoli heintiau. O ganlyniad i'r buddsoddiad hwn, mae'r Ymddiriedolaeth yn credu ei bod yn sicrhau arbedion ariannol ynghyd â gwella ansawdd gofal.⁹⁸
71. Dywedodd Mrs Lloyd ei bod wedi gofyn i WHAISG ymchwilio i weld a yw'n bosibl canfod costau penodol heintiau.⁹⁹ Er ei bod yn bwysig gwybod faint yw costau heintiau sy'n gysylltiedig â gofal iechyd, dywedodd Dr Simmons y byddai'n well ganddo pe bai modd datblygu mesuriadau dirprwyedig o gostau, yn hytrach na bod timau rheoli heintiau yn gorfod casglu data am gostau pan ddylent fod yn cyflawni eu dyletswyddau craidd.¹⁰⁰
72. Eglurodd Dr Simmons fod astudiaeth gynhwysfawr wedi'i chynnal yn yr Alban ar gostau heintiau sy'n gysylltiedig â gofal iechyd, ynghyd â'r arolwg cyffredinolrwydd a gynhaliwyd rhwng mis Hydref 2005 a mis Hydref 2006. Dywedodd fod gwledydd eraill yn y DU yn gobeithio defnyddio data'r Alban i amcangyfrif costau heintiau sy'n gysylltiedig â gofal iechyd yn eu hysbytai.¹⁰¹

Mae llawer nad ydym yn ei wybod am heintiau sy'n gysylltiedig â gofal iechyd o hyd

73. Mae'r Gwasanaeth Iechyd Cyhoeddus Cenedlaethol yn cynnal cyfarfodydd adborth blynyddol ar leihau heintiau, ac mae'r rhain yn datblygu'n fforymau effeithiol i dimau rheoli heintiau pob ymddiriedolaeth ddod ynghyd a rhannu gwybodaeth am yr hyn sy'n gweithio a ddim yn gweithio wrth atal a rheoli heintiau. Mae'n ddatblygiad cadarnhaol bod timau clinigol yn dechrau dod i'r cyfarfodydd hyn ynghyd â thimau

⁹⁶ Adroddiad Archwilydd Cyffredinol Cymru, paragraff 3.52

⁹⁷ Adroddiad Archwilydd Cyffredinol Cymru, paragraffau 3.55-3.61

⁹⁸ Atodiad A, paragraffau 192-193

⁹⁹ Atodiad A, paragraff 188

¹⁰⁰ Atodiad A, paragraff 189

¹⁰¹ Atodiad A, paragraff 190

rheoli heintiau.¹⁰² Fodd bynnag, mae cyfle i sicrhau cyfraniad ehangach a lledaenu'r neges y dylai pawb gymryd cyfrifoldeb dros atal a rheoli heintiau.

74. Er gwaethaf y rhaglen arolygu genedlaethol yng Nghymru, mae llawer nad ydym yn ei wybod am heintiau sy'n gysylltiedig â gofal iechyd o hyd. Er enghraifft, er y gallai Dr Simmons awgrymu'r rhesymau dros y cynnydd mewn heintiau *C. difficile* ers y 1990au, nid oedd modd iddo roi esboniad pendant dros y tueddiad hwn.¹⁰³ Yn yr un modd, dywedodd Mrs Lloyd fod angen gwneud llawer o waith i esbonio'r amrywiad yng nghyfraddau heintiau rhwng ymddiriedolaethau'r GIG yng Nghymru.¹⁰⁴ Mae hyn yn atgyfnerthu'r angen i barhau i fuddsoddi mewn ymchwil ac arolygu heintiau sy'n gysylltiedig â gofal iechyd.

¹⁰² Atodiad A, paragraffau 74 a 75

¹⁰³ Atodiad A, paragraff 63

¹⁰⁴ Atodiad A, paragraffau 60 a 61



**Cynulliad Cenedlaethol Cymru
The National Assembly for Wales**

**Y Pwyllgor Archwilio
The Audit Committee**

**Dydd Iau, 7 Chwefror 2008
Thursday, 7 February 2008**

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Cofnodir y trafodion hyn yn yr iaith y llefarwyd hwy ynndi yn y pwyllgor. Yn ogystal, cynhwysir cyfieithiad Saesneg o gyfraniadau yn y Gymraeg. Mae hon yn fersiwn ddrafft o'r cofnod. Cyhoeddir fersiwn derfynol ymhen pum diwrnod gwaith.

These proceedings are reported in the language in which they were spoken in the committee. In addition, an English translation of Welsh speeches is included. This is a draft version of the record. The final version will be published within five working days.

Aelodau Cynulliad yn bresennol
Assembly Members in attendance

Lorraine Barrett	Llafur Labour
Eleanor Burnham	Democratiaid Rhyddfrydol Cymru Welsh Liberal Democrats
Chris Franks	Plaid Cymru The Party of Wales
Janice Gregory	Llafur Labour
Irene James	Llafur Labour
David Melding	Ceidwadwyr Cymreig (Cadeirydd y Pwyllgor) Welsh Conservatives (Committee Chair)
Darren Millar	Ceidwadwyr Cymreig Welsh Conservatives

Eraill yn bresennol
Others in attendance

Paul Barnett	Prif Weithredwr, Ymddiriedolaeth GIG Sir Gaerfyrddin Chief Executive, Carmarthenshire NHS Trust
Jeremy Colman	Archwilydd Cyffredinol Cymru, Swyddfa Archwilio Cymru Auditor General for Wales, Wales Audit Office
Bethan Jenkins	Plaid Cymru The Party of Wales
Ann Lloyd	Pennaeth yr Adran Iechyd a Gwasanaethau Cymdeithasol, Llywodraeth Cynulliad Cymru Head of Department of Health and Social Services, Welsh Assembly Government
Dr Mike Simmons	Cyfarwyddwr dros Ddiogelu Iechyd, y Gwasanaeth Iechyd Cyhoeddus Cenedlaethol Director for Health Protection, National Public Health Service

Swyddogion Gwasanaeth Seneddol y Cynulliad yn bresennol
Assembly Parliamentary Service officials in attendance

John Grimes	Clerc Clerk
Abigail Phillips	Dirprwy Glerc Deputy Clerk

Dechreuodd y cyfarfod am 1 p.m.
The meeting began at 1 p.m.

Cyflwyniad, Ymddiheuriadau, Dirprwyon a Datgan Buddiannau
Introduction, Apologies, Substitutions and Declarations of Interest

[1] **David Melding:** Good afternoon. I welcome everyone to this meeting of the Audit Committee.

[2] I will start with the usual housekeeping announcements. The proceedings will be conducted in Welsh and English, and, when Welsh is spoken, a full translation is available on

channel 1. Should you need to hear the amplification of our proceedings, it is available on channel 0. If you have any difficulties, indicate to the ushers and they will help you.

[3] Please completely switch off mobile phones and any other electronic devices, such as BlackBerrys; they will interfere with our recording equipment if they are on silent mode. We are not anticipating a fire alarm test. Ergo, should one sound, it is probably real, so please follow the instructions of the ushers.

[4] I regret to inform you that Huw Lewis has suffered a very close family bereavement and will miss this meeting. I know that we would all wish to extend our deepest sympathy to Huw. Lesley Griffiths has apologised, as has Helen Mary Jones, but I am please to say that Bethan Jenkins will substitute for Helen. Welcome to our meeting this afternoon, Bethan.

1.01 p.m.

Heintiau a Gysylltir â Gofal Iechyd Healthcare-associated Infection

[5] **David Melding:** I welcome our witnesses. First, Ann Lloyd, who is head of the Welsh Assembly Government's Department for Health and Social Services. It is fair to say that she has been a regular witness at the proceedings of this Audit Committee and previous committees, and we fear that, as your retirement looms, we will miss your presence fairly shortly. However, I think that I speak for everyone in saying that we were delighted that your public service has been recognised by Her Majesty the Queen, with the award of a CBE in the new year's honours list. If you have not been to the palace already—

[6] **Ms Lloyd:** No, not yet.

[7] **David Melding:** We wish you a splendid day.

[8] **Ms Lloyd:** Thank you, Chair.

[9] **David Melding:** Other witnesses are Paul Barnett, chief executive of Carmarthenshire NHS Trust and Mike Simmons, Director for Health Protection, National Public Health Service for Wales. Welcome to you both. I think that Mr Barnett is the only witness who has not been before us previously. We have a fairly set pattern, where each Member will ask questions; some of them will be to you, and others will not, but, should you wish to make a response, please indicate as we proceed.

[10] Before I introduce this session with the short preamble of my first question, I welcome our new clerking team, which is already serving us extremely well. I know that we will enjoy working with you both.

[11] I will now set the scene. We will now discuss the findings of the Auditor General for Wales's report, 'Minimising Healthcare Associated Infections in NHS Trusts in Wales'. The auditor general's report makes clear, and I am sure that no-one here would disagree, that it is unacceptable that patients should contract an infection as a direct and avoidable result of their treatment in the health service. The consequences for patients affected by healthcare-associated infections can be devastating, and the costs incurred by the health service can be considerable. In this session, we will examine whether NHS trusts have taken the appropriate steps to minimise healthcare-associated infections, in line with the Assembly Government's strategy.

[12] I will now open the questions, and the first question is for you, Ann. Are healthcare-

associated infections being tackled sufficiently robustly, and to what extent has the Assembly Government's strategy been effectively implemented by trusts in Wales?

[13] **Ms Lloyd:** Considerable progress has been made since we published the strategy in 2004, although no-one can ever be complacent. This is a considerable worry to carers and patients alike; one thing that comes through our audits of patients' experiences is that they truly worry about this in coming into contact with hospitals.

[14] The Wales Audit Office has recognised the improvements that have been made, and I think that that is largely due to the fact that we have been fairly robust in the way in which infection control procedures have been implemented. We have ratcheted up surveillance requirements for all organisations, which means that we are getting far more accurate information about the rate of infection in our hospitals and the ways in which it might be better controlled. Education and training have also been improved, as has information for patients and their carers. There is a section in the paper about what is necessary.

[15] All of those things have helped. As you know, over the last six months, the Minister has announced in the Chamber her intention to improve the general patient environment, the cleanliness of the organisation's facilities. That work will be concluded within the next month or two. I am sure that the Minister will share those results with you. However, more needs to be done. We have produced our community infections strategy, which will be very important in looking at the ways in which we can ameliorate the effects of infection as we move to a more community-orientated service. The WAO report, the conclusions that you reach, and the outcome of the work commissioned by the Minister will allow us to refresh that 2004 strategy. So, there has been a huge increase in awareness among all staff in the health service in Wales about the importance of controlling infections and ameliorating the effects of infection when they occur. That has led us to have a more holistic approach to HCAs than has been effected in England. This was the right thing to do, given the results that we now have before us.

[16] **David Melding:** That is very helpful. We will follow up some of the specific points that you made in our questions this afternoon. Would you say that the 2004 strategy is still generally robust and current and not in need of fundamental change, although you feel that it should be more effectively implemented? Is that basically the position?

[17] **Ms Lloyd:** As we have improved surveillance, we are now clear about the real causes of infection, hence the need to improve education and training, both among our staff and providing information for patients. We need to pause and see how we might best use that surveillance information, consider what we need to keep an eye on in the future, and update the strategy so that it coalesces with the community strategy that we have now published. We will see the first results of the implementation of the community strategy over the next year.

[18] Implementation can always be improved. You have seen the areas where mandatory surveillance is now being undertaken, and what we have tried to do to improve that. So, I would never be complacent about implementation, but we could refresh this guidance over the next six months and ensure that it is reinforced with the service and patients.

[19] **David Melding:** Thank you. I have a question for Mr Barnett. You have heard the general response from Ann Lloyd; in terms of your particular trust, what are the main challenges for you in more effectively implementing this strategy?

[20] **Mr Barnett:** Infection control is a main priority for us, as is patient safety. We have been doing a lot over the years: we have been measuring, for example, MRSA since 2001 and have been able to demonstrate a year-on-year improvement. This is a major part of our focus. As Ann has suggested, the main challenges are providing information, getting the right

messages across to the general public about what is required in the community and what happens when they come into hospital. We also need to provide education and training for our staff and ensure that we assiduously follow up on this all of the time. We need to carry out audits, and act upon the results. It is about the involvement of all staff, but particularly clinical staff. I would like to think that our clinical staff have taken up the gauntlet and contribute a great deal to the improvements that we have made. Those are some of the challenges that we face.

[21] **David Melding:** Infection rates are generally lower in Wales than in England. Ann, could you reflect on that? Is there evidence that this is because of something that we are doing—that is, the strategy is better—or is it that the prevalence in the general population is different and that we do not have such a high rate anyway? What is the position, in your view?

1.10 p.m.

[22] **Ms Lloyd:** Dr Simmons can talk to you about prevalence, on which he is an expert. However, I think that it is because we actually took a stand and looked at the whole range of hospital-acquired infections rather than just one small section. You can see from the data collected by the WAO that MRSA, on which there is so much focus in England, is actually a small, but important, infection, which we are dealing with in Wales. We got the staff on board at a very early stage. They helped us to construct this strategy and Dr Simmons, who put this document together in the first place, was our adviser on hospital-acquired infections. Getting the staff on board and thinking about the very practical ways in which we could control and manage hospital-acquired infections was what we did in Wales, rather than thinking, ‘MRSA is the big problem, the super infection; it will be tackled and we will give targets to do it’. You can see, from this report, the issues that arose from making it a target and from almost forgetting about the rest of the problem that was there. Important though it was, we felt that we should tackle the whole issue of infection in hospitals and seek to strive to make sure that the whole lot were tackled successfully and were reduced. Dr Simmons, I do not know what you would say about the prevalence being different.

[23] **Dr Simmons:** It is different, of course. When we consider the prevalence data for the mainland UK, we see that we are the lowest. Indeed, if you look at us in comparison with Northern Ireland, while there appears to be a lower figure for Northern Ireland, when you look at what statisticians call the 95 per cent confidence level, you see that there is an overlap. The one that does stand out as being different to the rest in the five nations is the Republic of Ireland and that is possibly because of its different healthcare system. The five nations will continue to work on this and tease this out.

[24] We have a very different figure. We are lower in many areas and I, like Ann, think that it is due to the fact that the Assembly took very brave decisions—you were a member of the Health and Social Services Committee when it looked at this. The Assembly backed the stance that we, as professionals, were recommending, and said, ‘Look, do not get seduced into the MRSA problem’. Now it is *Clostridium difficile* and, as we can perhaps illustrate later on, it could be other organisms. However, you did not do that. As an Assembly, as politicians and across the Welsh Assembly Government, you backed this approach, which was evidence based and professionally led, and we are light years ahead of the other UK countries. You begin to hear statements where they are playing catch-up on this holistic approach to all healthcare-associated infections.

[25] **David Melding:** Thank you. We are now going to look at MRSA specifically. Mr Barnett, the rates in your trust have been fairly constant since 2001. Would you have hoped to have seen those reduce more significantly? Sorry, I know that you would have, but why do you think that they have not?

[26] **Mr Barnett:** Bearing in mind that this was one of our targets for the first two years, we would have hoped that it would have come down more significantly. However, I think that the measures that we have put in place for MRSA have generally improved the situation across the board with all the other infections. As I say, we are continuing to work closely on MRSA, among the others, and we have lots of actions in place, not least the actions that will come out from the audit report that we are addressing today. This audit report has been to our audit committee, we have had a brief presentation on it, and it has now gone from the audit committee to our infection control conference, where an action plan has been prepared. Clearly, there are actions in this that will address MRSA in the future, along with all the other things. As you say, we have been fairly constant, in the middle, but perhaps towards the lower end, and I am confident that that situation will improve.

[27] **Eleanor Burnham:** It is, as you have already indicated, mostly MRSA and *Clostridium difficile* that get the media attention. I know very little about this other than that my mother in her eighties acquired one of these dreadful infections when she was in hospital, which was really nasty; she had only been there for a couple of hours and she fell foul of this horrible infection. I am concerned about whether or not there is sufficient focus on monitoring and tackling the other infections that are mentioned in figures 2 and 3, because even *E. coli* in figure 3 on page 19 seems to be top of the pops in this regard. What are your views, because we cannot go on like this? Many people have different views—some think that it is about a lack of accountability in terms of proper cleaning, and Gordon Brown decided that there would be a one-off deep clean. You then have the issue of high bed occupancy, and so on. Some people regard it as the down to the use of agency nursing, and others talk about the over-use of antibiotics or handwashing—you could go on and on. This is a really serious issue, and while we are spending so much money on these wonderfully complicated, fantastic surgical procedures, people are becoming very ill or even dying from these infections. It is quite a scandal, and we should not tolerate it in the twenty-first century.

[28] **David Melding:** If you could concentrate on the surveillance issue—

[29] **Eleanor Burnham:** I am sorry, I got carried away.

[30] **David Melding:** You did start the question, and I will now ensure that you finish it. I presume that this general approach has looked at the surveillance issues and the pattern overall.

[31] **Dr Simmons:** Eleanor said that it cannot go on like this, but I would suggest that it is not going on as she suggested. What we have demonstrated in Wales is clear declines over the years; we have a number of locally-based trust targets whereby individual trusts will demonstrate reductions in their target. As a result of the surveillance, although we did not target MSRA, we have seen that it has fallen by a statistically significant amount; we are two standard deviations below the mean, which is significant. So, we are making progress in all of these areas.

[32] You pointed to pages 18 and 19, but we must be very careful. With regard to the top 10 bacteraemias in figure 3, we deliberately developed that surveillance scheme to carefully place MRSA in context for you, from an Assembly point of view. Nowhere else in the UK is doing that and that is possibly part of the reason why you get this constant focus. Of the bacteraemia listed, you would normally associate the top four infections as coming in from the community. So, in other words, a patient has an infection and they get admitted to hospital because it is serious. *E. coli* is a common infection—it is gut flora and commonly causes urinary tract infection, and severe urinary tract infection will bring people into hospital. We are conscious of that, because the other side is that all of those top four infections, but especially the first two infections, can be acquired after 48 hours in hospital. So if we

catheterise a patient inappropriately, that catheter can acquire organisms over time, including *E. coli*, and the patient can get a bacteraemia.

[33] The fifth infection in the list, *Klebsiella*, is interesting. That, generally, would be considered to be a healthcare-associated or hospital-acquired infection. It is above MRSA in the list and it has no focus. Our strategy is to focus on all aspects of healthcare-associated infection, and, while the Office for National Statistics does not tell us how many patients have died of *Klebsiella*—because it has not bothered to look at it and has focused on the infections that have received attention—I would suggest that more people are likely to die of a *Klebsiella* infection or of an *E. coli* infection than would ever die of MRSA. These are much more potent organisms because of their very nature—with regard to septicaemia or endotoxaemia, we are aware that endotoxin is part of the cell wall of some of these gram-negative organisms, such as *E. coli* or *Klebsiella*. MRSA is totally different. *Staphylococcus aureus* being what is called a gram-positive organism, it does not have endotoxin and cannot cause an endotoxaemia. It does kill people, but less frequently than the gram-negative organisms. Our surveillance is demonstrating where we need to focus. An individual trust will have access to data such as that in figure 2 outlining the issues for that trust and what it should be tackling. We set this challenge: use the surveillance to ask the question, locally, ‘What should I do here to tackle healthcare-associated infection?’.

1.20 p.m.

[34] **Eleanor Burnham:** There are lots of associated questions, so I will try not to ramble on. There are limitations to these surveillance schemes, so how can those be improved, given what you have told us already? In what ways could the surveillance programme be continued to, for example, include patients aged under 65, particularly in respect of *C. difficile*?

[35] **Dr Simmons:** A survey from the Welsh healthcare-associated infection committee is currently going out to the infection control teams to ask their views on the assessment of people under 65 with regard to *Clostridium difficile*. It is an organism that primarily affects people over 65, so we are checking to see how many infection control teams look in other age groups. The schemes in the different UK countries are not directly comparable because we use different denominators. That said, that aspect that will be looked at.

[36] On the limitations, one of the issues with MRSA, and the reason for choosing bacteraemia, is that it is very easy to collect laboratory data. Therefore, it is easy to compile a list of the top 10 bacteraemia. It is robust data; it is probably near 100 per cent of all data on bacteraemia—in the top 10 that is—that we get in Wales. We chose bloodstream, because blood is normally sterile. If we get into the mess of taking wound swabs, a microbiology laboratory can tell you only that a wound swab has an organism on it, which does not necessarily tell you that that organism represents an infection. This is where, so often, we get confused between what is called ‘colonisation’ and infection. I briefed Mrs Lloyd and she gave me permission to tell you that I have *Staphylococcus aureus* up my nose. Look around this room; every third person is likely to have *Staphylococcus aureus*, the Methicillin-sensitive *Staphylococcus aureus*, up their nose. Depending on their own contacts—and if I sneeze you are going to get it—

[37] **David Melding:** I think that I am far enough away. *[Laughter.]*

[38] **Dr Simmons:** Depending on your social circle, you may equally be colonised with MRSA, particularly if you have had antibiotics, because it is resistant to simple antibiotics. Therefore, instead of your normal *Staphylococcus aureus*, you might have acquired an MRSA. That does not mean that you are infected—I am not infected; I am just one of the people who is colonised with it. We are walking bags of bugs; there are billions of us here in this room if we include all the bugs in our guts.

[39] The surveillance of laboratory data is easy to do, but it is not surveillance in the same sense as in figure 2, which is very labour-intensive to do. That is a prevalence study, which involves going to every single patient in ward or hospital—and in Wales we chose to survey every single acute bed in a hospital in the shortest possible period. We went to every patient and asked whether, clinically, they had an infection. That is how you get the really robust data, but it is labour-intensive and costly, which is an issue. There is a cost-balance equation. We must consider how often it is appropriate to do a prevalence survey, because when people are running around with clipboards they cannot do their usual jobs—education, if they are in infection control, or management of places or nursing. Paul will have had to set people aside to run the prevalence survey to answer these important questions. They are important questions, but the survey has been run only once every 10 years nationally because it is so labour-intensive.

[40] If we want to improve surveillance, clinical surveillance is important, and that is why Ann mentioned earlier surgical site infection, how we need to work with orthopaedic surgeons and obstetricians, and how we need to introduce more of these clinical surveillance schemes to give us robust outcome data based on individual patients, surgeons, hospitals and whatever other units you wish to cover. That is where improvement would be worth looking at. From our committee's point of view, we are constantly looking at ways of trying to encourage and improve how we collect that data, so that surgeons, again, are not running around with a clipboard, but doing their surgery, which is important.

[41] **David Melding:** I think that you have successfully indicated your enthusiasm—

[42] **Eleanor Burnham:** I will just ask my last question. Do you have any idea of the numbers of patients under 65 who have contracted *C. difficile*? Is it important, even?

[43] **Dr Simmons:** We have no surveillance scheme that will readily give that answer. We can pull the data off; again, Wales is unique in that it is the only country in the world, I believe, that captures every single data item that goes through our microbiology laboratories. It will exclude a few patients in Powys who go into England, so it is not a complete Welsh picture, but it as near as damn makes no difference. That is down to the Welsh Office, which, I think, invested in this scheme. Therefore, we could pull off all the *C. difficile* results from all the under-65s, but that would not give you a handle on whether we have captured the whole population. However, with patients who are over 65-years-old, we have a scheme so that when they have diarrhoea, and fit certain criteria, we take a specimen for *C. difficile*—however you wish to pronounce it; I never know which way is right.

[44] **Irene James:** Following on from that, we all know that MRSA is the huge focus for everyone. However, you are saying that there are many other important infections that we do not seem to be addressing. I mention MRSA because I have an 85-year-old mum who needs a hip replacement but will not go into hospital because she is afraid of contracting MRSA.

[45] **Dr Simmons:** That is the tragedy. That is where risk assessment comes in. Part of what we need to be doing is to educate people. You will presumably turn to screening at some point, Chair, so we can address that later. If I can counsel Mrs James—

[46] **Irene James:** No, you will not—not my mum. [*Laughter.*]

[47] **Dr Simmons:** Maybe she could contact me and I will have the debate with her. With hip operations, it is devastating if you get deep-seated infection; we know that, and it causes problems. However, the number of patients going for a hip operation who will get deep-seated infection is less than 1 per cent. Most of the organisms that the patient will get—even if all of these were gram-positive groups—would be ordinary skin Staphylococci that we all

carry, and nothing to do with even *Staphylococcus aureus*, what are called coagulase-negative *Staphylococcus*. Therefore, we would not even begin to address at least 50 per cent of cases. The other 50 per cent might be *Staphylococcus aureus*, and a fraction of those—probably 50 per cent—would be MRSA. Therefore, it is a risk of around 0.25 per cent, and probably lower.

[48] **Irene James:** That was what I was going on to ask about, but you have pre-empted it. We seem to be concentrating on MRSA, but you are saying that there are many other infections that we need to be looking at, which we do not seem to be doing.

[49] **Dr Simmons:** We are doing that; we are collecting that information. From a clinical point of view, you do not need to know the organism; you need to know whether the patient is infected, and then where you go.

[50] **David Melding:** I believe that we have had ample evidence on this point, which is reassuring. Janice Gregory has the next questions.

[51] **Janice Gregory:** I do not understand most of the words that are being used, but I am caught up in Mike's enthusiasm, and I am trying to avoid him sneezing as well. [*Laughter.*] I was going to ask about the principal reasons for Wales having a lower rate of MRSA, and you have touched on that. Could you expand on your comment about the Republic of Ireland, which is better than us, but has a different healthcare system? It is important for people who may be tuned in this afternoon, and especially for me, to know what that difference is. I was pleased to hear you mention the fact that Wales is light years ahead of everyone else; perhaps you could expand on that too.

[52] **Dr Simmons:** What was the first question? My memory is awful.

[53] **Janice Gregory:** I am glad that someone else is like me, and you have all this information retained. [*Laughter.*] It was about the Republic of Ireland—why is it so different, and why is it better?

[54] **David Melding:** It is not satisfactory just to say that it has a different healthcare system; there is presumably a bit more that we can say in terms of comparisons.

[55] **Dr Simmons:** I do not know the ins and outs of the system in the Republic of Ireland. It does not have a national health service, as I understand it, in the same way that we do. I believe that it is more akin to the French system, which has public and private elements. You may know more about it than I do, Ann; I do not know the details. I have seen the draft paper that my colleagues are putting together, which comes out of the prevalence study. The table in figure 2 is taken from the report that the various countries are putting together. It includes authors from the Republic of Ireland who are part of the survey. Between them, they have agreed a comment along the lines of, 'We have a different system', so we cannot entirely explain it, but Ann might want to expand on the different system because I do not know the details of it.

1.30 p.m.

[56] **Ms Lloyd:** The system there is different from ours but I am not at all clear to what extent that would affect the prevalence rate, and I would not be convinced until we received the final document relating to the differences between the systems that are used. My suspicion is that stuff is collected differently and is probably not collected from some elements of the system used, but we would have to guard ourselves on that.

[57] **David Melding:** Okay. We do not need to pursue it if we do not know what the

answer is—

[58] **Janice Gregory:** I just wondered whether or not that was the case. So, in fact, those figures could be slightly skewed and we could be better again.

[59] I have another question and it is for Ann and Mike again. What are the main reasons for the variation in rates between the trusts? Why do you think that some trusts have been able to bring their rates down while others have seen an increase, according to the figures?

[60] **Ms Lloyd:** I will start and then Mike can add the detail. There are about five reasons why you would expect a difference between the trusts. First, you would expect an increase in infection rates—and Mike will be able to explain why—if the trusts were dealing with highly complex interventions and care. So, the more complex the range of services that you deliver, the more you would expect the incidence to rise because of the nature of the patients with whom you are dealing. There are other issues too, such as the availability of isolation facilities and specialist infection control staff. You could also look at bed occupancy and the staffing of the facilities, and some of it comes down to the ownership of the problem by the teams concerned. All of those are varying factors.

[61] We have been trying to pick up the issues and feed back to the trusts on the outcome of the prevalence and incidence rates per trust. When we give trusts their information, we require them to look critically at it and at the top risks for them as organisations and their patients individually and then respond back to us and to Mike's department, stating what they are doing about these risks. The prevalence and the incidence will be slightly different for each organisation. On bed occupancy in particular, some of the data do not bear out the argument on that. It is not sophisticated enough yet to hang your hat on it, but it will depend on how many beds within this occupancy rate relate to intensive care or specialist services, where they may not have as high a rate of occupancy as you would see going through general medicine, general surgery, orthopaedics and so on. So, if you look baldly at the rates of bed occupancy in the acute hospitals in particular, you will find that they will not correlate with the rates of infection at present. So, much work needs to be done. We are gradually investigating with all the trusts the effects of these other features on the ways in which we can control and then lower the infection rates.

[62] **Chris Franks:** I will refer to paragraph 1.15 and figures 8 and 9. Initially, I will address my remarks to Dr Simmons. Can you explain the main reasons for the increase in *C. difficile* infections since the 1990s?

[63] **Dr Simmons:** I do not believe that we can. We can give lots of suggestions as to what is going on there. First, whenever you introduce a surveillance scheme, you capture all the data, or attempt to do so, which perhaps you were not previously capturing. This goes back to the question that I was asked earlier about the under-65s—at the moment, we do not have a scheme. We could tell you how many we were getting in the laboratory, but it would not necessarily translate into a rate, with a proper denominator. So, whenever you introduce a scheme and you get a take-off period, you will get a rise; we have seen that with most schemes, whenever they are introduced.

[64] The complexity of all this, in terms of the way that we interact with our environment, and so on, is a factor, as is the way that we interact with and use antibiotics. There will be variations across the piece, and you will be aware that there have been concerns about how strains come in and go out. You will have heard of the 027 strain in England, in particular, and we have the occasional case in Wales. That is an example of how a bacterial clone might establish itself, and, up until now, we have had concerns about MRSA, but in the 1960s, I think, there was a strain of a methicillin-sensitive *Staphylococcus aureus* that was incredibly pathogenic, and spread around hospitals just as widely. In the 1950s, you used to hear stories

of an E. coli strain that was causing horrendous problems in neo-natal baby units, and that went all around the country. We cannot get to the bottom of our interaction with the ecosystem that we are part of. There are other things that have a role in this, and, from a C. difficile point of view, cleaning is undoubtedly a factor—we have recognised that, of all the infections, this is one where there is potentially a link with cleaning, whereas with most others it is down to hand hygiene and opportunity for transfer. That still applies to C. difficile, but there is this other factor that also has a role to play, and we must tackle that as well. I do not think that there is an easy answer as to why it is coming though at this particular time.

[65] **Chris Franks:** We have been told that there is no easy answer but, Mr Barnett, your trust has the second lowest infection rate. How have you done so well?

[66] **David Melding:** That was specifically on C. difficile.

[67] **Mr Barnett:** We identified it as one of our infection targets, and it has been our target for the past two years. In fact, it is our target again this year. We recognised that we needed to improve, and we worked closely with clinical and other staff to look at how we could improve as we develop, and how we could reduce our rates. Specifically, we invested in deep cleaning and attached a refurbishment programme to that, so that we have been able to take wards out of use and move patients elsewhere, so that wards can be deep cleaned and we can make them as free from infection as possible.

[68] We have also managed to do something about what we call surge capacity. Where we have identified that an outbreak is taking place, we have isolated that area and ensured that we do not put any more patients into that ward or that bay. We have then opened up another ward or bay in another part of the hospital to take the admissions that would have gone into that area. That, in turn, ensures that you isolate the outbreak, you keep control of it, and you are able to keep going with the capacity that you need to carry out your admissions, and so on. Cleaning has been mentioned, and we have introduced new cleaning technologies such as steam cleaning and microfibre cleaning. On a subject that might come up later on, we have separated the elective and emergency general surgical approach in Carmarthenshire, and also the orthopaedics. That means that we have been able to screen patients coming in for elective orthopaedics in particular, and, as a result, we have kept potentially infectious cases away from the area concerned. Again, that improves our infection rates. We have also been able to introduce an antibiotic pharmacist recently, whose specific role is to work at ward level with the junior doctors and nursing staff to ensure that prescribing practice is in line with our guidelines and with national recommendations. In that way, we ensure that we are not going off kilter and we save money because we are working within the guidelines and ensuring that we are not indulging in free prescribing, as it were.

1.40 p.m.

[69] In addition to that, we have improved a number of side rooms and isolation rooms in recent years. That has enabled us, as soon as we identify a problem, to use that capacity to ensure that cases do not infect other patients. In that way, again, we have improved our rates.

[70] I mentioned at the beginning the variety of audits that we carry out regularly. We have annual audits from the infection control committee and quarterly audits from our infection control links on each of the wards and in each of the departments, and all those audits are well attended. As a result of those, we learn from them and implement good practice. So, many things have been going on, which have contributed to improving the rates.

[71] **Chris Franks:** The message that I am receiving is that it is not one single hit, but a whole layer of practice and practices.

[72] **Mr Barnett:** In terms of one single hit, you cannot say that you have ever achieved, because you have to come back to it over and over again. In a sense, it involves behaviour modification because we have to continue to get the message across and get the responsibility across to all staff. We have taken the view that this is everyone's responsibility—everyone who enters the hospital, so not only the staff, but the patients and their relatives and loved ones who visit the hospital. In that way, we are building on the message that is going out to the community and that we present in the media. We have to keep reinforcing it, and that is why things have gradually improved.

[73] **Chris Franks:** That is pretty comprehensive, but, perhaps, the more difficult question is why have other trusts not done the same?

[74] **Dr Simmons:** I will try to answer that. As I explained earlier, one of the things that we have adopted in Wales is the principle that decisions on what should be a local target should be taken locally. Otherwise, we get the silly situation where we may have a national MRSA target, but the trust is not affected by MRSA or there are few cases. The data produced will then be up and down and will be meaningless. So, by setting these infection control targets that are locally derived from a national direction, we ask trusts to look at their particular problems. Each trust is then required to register that with my organisation, with the team that looks after healthcare-associated infection on behalf of the Welsh Assembly Government. We then hold an annual feedback meeting with the infection control teams, or, even better, with the clinical teams. That is beginning to happen now.

[75] Last year, the people that Paul's trust sent along included the antibiotic pharmacists and various other members of staff, and not only the infection control team. That demonstrates how this is beginning to be recognised as being everybody's business. They then bounce these ideas off each other and see what is and what might not be working so that they can respond and think, 'Now that we have this problem, we should be liaising and doing the same'. That is how we try to tackle it.

[76] **Ms Lloyd:** Paul has described the ideal. He has flexibility in his accommodation to be able to do this type of thing. Some trusts do not have that flexibility and that is why we are working with them to ensure that they understand what the best practice is, and the website that Mike runs is helpful in that respect. That is why we have asked Health Inspectorate Wales to review everyone and for people to self-assess against the new healthcare standard in terms of infection and cleanliness, but some organisations might not have the facility that Paul's trust has and some might not be applying sufficient effort to tackling some of these problems. That is why this baseline survey is coming through this year from Healthcare Inspectorate Wales's audit and self-audit.

[77] **Eleanor Burnham:** I find the point about antibiotics and pharmacists intriguing. My daughter lives in Romania, and they are given probiotics when they are given antibiotics there. Do you have a comment to make on that? Surely, this is part of the big picture of what is happening elsewhere, which might be effective here.

[78] **Dr Simmons:** With probiotics, we are in the realms of the good or friendly bacteria and so on. I cannot say that I know the science issues backwards in the argument for probiotics. However, we know that a number of trusts use live yoghurts or brewer's yeast as part of their management regimes. No-one in Wales has been able to set up a probiotic trial. One of our trusts reported a terrible failure at the first infection-reduction seminar. It thought that it would be an excellent idea to run a trial based on what amounts to a food—a drink produced by Yakult or Danone. It was one of those drinks that we are all encouraged to buy in the adverts on the television, and sometimes we do and sometimes we do not. That trust wanted to see whether it could demonstrate that probiotics provide a benefit. It had two care wards on which there were regular outbreaks of *Clostridium difficile*, and it decided that its

infection-reduction target would be to treat the patients on one ward with a probiotic daily from the time of their admission, and to use the patients on the other ward as the control. It was a fabulous idea for a study, but it could not get through the ethical committee. It was a disappointing decision, and I have been trying to encourage that trust to tackle it again, because the situation is daft. This is not a drug; it is a food, and it is recognised as such.

[79] **David Melding:** I do not want to get onto the front page of the *Western Mail*. We are now drifting into interesting evidence, which, I think it fair to say, is not yet at a robust stage. So, we will not pursue the subject.

[80] **Bethan Jenkins:** I have a question for Ann Lloyd on figure 11 on surgical site infections, which shows that infections are more prevalent following surgery, particularly orthopaedic surgery and caesarean sections. Do you think that a more rigorous outlook is needed to encourage people to comply with the reporting requirements? Why are post-surgery infections more prevalent in Wales than in other countries? I think that it was said earlier that Northern Ireland is different, but why are the figures in Wales higher than those in other countries?

[81] **Ms Lloyd:** One problem with orthopaedic surgical site infections has been getting people to comply with filling in the forms so that we can draw together the proper information. Mike and his team have been working hard with the orthopaedic surgeons, who have said that the forms are far too difficult to fill in, and that they are already doing an awful lot on outcomes with prostheses, and so on. So, he has been working with them on the forms that need to be filled to report surgical site infections so that they comply, and there has been some success with that.

[82] The other reason why our surgical site infection prevalence rate may appear higher is the way in which we report infections, which is very different here compared with England. In England, if you get an infection after leaving hospital, it is not counted the minute you are out of the door. We shoot ourselves in the foot sometimes, but we think that it is more honest to follow what happens to the patient, so we gather more evidence of infections than other country at the moment. However, the compliance must be improved so that we can make real inroads to this and to spread some good practice.

1.50 p.m.

[83] **Dr Simmons:** England is the odd one out. The Celtic nations are using a similar scheme for this one and Northern Ireland is the same. Ann is right to say that it appears to be due to compliance, but Paul would know, because we have updated data from Carmarthen, which would be quite helpful in explaining why the rates are higher.

[84] **Mr Barnett:** The table in front of you identifies our compliance at about 20 per cent, but it is fair to say that we have moved on in the past year and it is now up to 78 per cent. There is a good reason for that, because we have used evidence to identify this as an issue. We have worked with our clinicians to improve their involvement and to get more ownership of the data so that they give the data back to us. In addition, we have made sure that they get regular feedback as individual clinicians and as a group.

[85] We pulled together a task-and-finish group to look at this specific area, which was chaired by one of our orthopaedic surgeons. That guaranteed clinical involvement and ownership. We developed a process map to identify where compliance was failing, and we looked at those areas and identified what it was that the group needed to do. The group identified it, it owned it, and it has done something about it to put it right. We also have to involve our information department in this, as has been said. Not only is it important that we put the information in, but also that we get prompt and timely feedback, so that the

department can improve its practice. The information comes back to the group quarterly through our Myrddin PAS system, and it can act on that timely information as a result.

[86] I mentioned earlier that we segregated elective and emergency orthopaedics in the past year, which is clearly having an impact. We have also increased the number of side rooms so that cases can be isolated. So, there is clinical involvement, and clinicians are taking responsibility, but 78 per cent is still below what we seek to achieve. We are not being complacent, but we need to get that figure up even higher, and we are working with our colleagues to do that.

[87] **Bethan Jenkins:** The reason you have had such a significant change in percentage is because you initiated a task-and-finish group, which has seen a lot of success in the area.

[88] **Mr Barnett:** That is right. We identified that there was an issue, the clinicians accepted and owned that, and set about doing something about it.

[89] **Dr Simmons:** To illustrate the point about the rates of infection, I would just add that my understanding, from the conversation that I had with Paul last week, is that now that more data are collected, providing a more complete picture, the infection rate has fallen.

[90] **Mr Barnett:** Yes, that is right.

[91] **Dr Simmons:** We currently have an incomplete number of forms coming through, perhaps just a subset of forms. However, once we increase compliance, surgeons will think, 'Crumb, there is an infection here, so I had better fill the form in', and as Carmarthen has demonstrated, the rate of infection will fall towards the expected mean.

[92] **Mr Barnett:** It is currently at 0.9 per cent, and the all-Wales figure is 2.4 per cent.

[93] **Bethan Jenkins:** Are you hoping that other trusts will take on board what you are doing in this area?

[94] **Ms Lloyd:** According to the current compliance rates, there has been a real improvement in the percentage of compliance. Only one or two trusts for orthopaedics and caesarean sections are lagging way behind, but they are being tackled.

[95] **David Melding:** Okay, before we move on to the next question, Darren wanted to come in.

[96] **Darren Millar:** I just wanted to pick up on that point. You are suggesting that increased compliance is why rates have fallen, and yet, earlier, we were told that it was because of the improvements in infection control. This question is to you, Paul. We were told that the reason infection rates for *Clostridium difficile* had fallen so dramatically was because of the regime that you had installed, for example, improved cleaning, deep cleaning, and investment in other programmes to ensure that the clinical staff and everyone else at the hospital was taking this as a serious issue. Which one of those two is it, or are they both contributing to the lower rates?

[97] **Mr Barnett:** In a sense, you are looking at two different surveillance schemes, and it is like comparing apples and pears. They are two different arenas. Having said that, the general approach of involving our staff and making it everyone's business is the right one, particularly on the surgical side, as they are the people who are at the heart of delivering the service, who can make a difference.

[98] **Darren Millar:** In that case, why would orthopaedic surgeons and clinicians, for

example, be more likely to record a case where there was an infection rather than one where there was not? Can you explain that?

[99] **Dr Simmons:** It is because they think, ‘Crumbs, there is a scheme that I am supposed to be following; I had better fill in this form’. I am only guessing, but that is human nature. The aim is to make this routine. It is a very simple tick-box form that goes through a scanner. It is a similar system to that used by patients to order their dinners in a hospital. It is designed to be simple so that you can get on and do it every time as a matter of course. We know that this scheme works clinically, because it works with tonsillectomies: every single tonsillectomy in Wales is captured and we have 99 per cent compliance, because those surgeons own that process. They want to know, from an audit point of view, whether there are problems with certain instruments. Your clinicians have to be engaged. Sadly, orthopaedics was chosen on the back of schemes with England and the fact that the national orthopaedic register was coming on board. People thought that it might be a good idea to join them all up. We did not get that clinical sign-up. There are differences in this arena. Just compare it with intensive therapy unit surveillance, where there is 100 per cent compliance because the clinicians have ownership of it. Paul has demonstrated that, when you get that clinical engagement, your compliance goes up. When clinicians understand why it is important to measure something, you then get that compliance. I think that we missed a trick early on with this.

[100] **David Melding:** You may add, but not amplify. We are getting some good evidence, but I need to move the committee on. It is useful to know that some surgeons are doing it though others are not. It is a cultural issue, presumably.

[101] **Mr Barnett:** We are dealing with competitive people. There is an element of peer review in all of this. If some of our colleagues perceive that other clinicians are doing well, they will also want to do well.

[102] **David Melding:** Let us move on. Bethan will ask question 8.

[103] **Bethan Jenkins:** I will move quickly on to something about which people will have heard more anecdotal evidence recently, namely the prevalence of infections such as the norovirus. Since the report was published, there have been far more of these cases. [*Interruption.*] Perhaps I am wrong, then, and there has just been more media attention. My question is for Paul Barnett. Have recent outbreaks had any additional effects on your trust and the way in which you operate?

[104] **Mr Barnett:** Since last September, we have had no confirmed cases of norovirus in our acute hospitals. Over Christmas, we had one outbreak in one of our community hospitals. From that point of view, we have not been able to compare and contrast why the situation has changed. We report all outbreaks to the Welsh healthcare associated infection programme, and we comply with the mandatory reporting arrangements. In years gone by, the situation has been different and the report identifies that, for the year in question—2006-07—we had 10 outbreaks, which involved 62 patients and 35 members of staff. However, we have been able to cope with this and improve on the situation for all the reasons that I identified previously.

[105] **Darren Millar:** The worrying thing about such infections is that, ultimately, they can lead to death. That is why there is a great deal of interest in these. In paragraph 1.24 of the auditor general’s report, we are told that the number of death certificates that mention methicillin-resistant *Staphylococcus aureus* or *Clostridium difficile* has increased quite significantly. The report goes on to say that that is not necessarily a good indicator of the number of deaths in which these infections were a contributory factor. How do you think that we should establish a better way of measuring when a hospital-acquired infection is a

contributory factor?

2.00 p.m.

[106] **Ms Lloyd:** I will start. What goes on to a death certificate, in either of its parts, is very much a matter of clinical judgment. They have to decide whether, in their view, any HCAI has contributed to a death and I think that that is exactly what they are doing at the moment. Due to the change in the way in which the information is collected, I think that they have a heightened awareness of ensuring that the sections of death certificates are completed as comprehensively as possible. I agree with the auditor general that it is a very subjective measure at the moment. I think that, basically, our more wholesale investigation into the rate of infection and the cause of infection will give us a better way of handling a reduction of harm to patients than just relying on this sort of measure.

[107] **Darren Millar:** So, you do not think that it would be important, for example, to capture information on the death certificate where a hospital-acquired infection was a contributory factor, even if it was not perhaps the biggest factor, in someone's death. Do you not think that that would be helpful?

[108] **Ms Lloyd:** I think that, at the moment, it is too subjective to place a huge reliance on it and it certainly should not be used as a sole indicator.

[109] **Darren Millar:** Would it be helpful if you were to issue guidance along those lines, in order to be a bit more prescriptive about the way in which these things are recorded?

[110] **Dr Simmons:** Guidance would be fine. One of the difficulties that we have in this area is that I do not think that we have powers to influence this issue, because I think that it comes under the Home Office. That is the cause of some of the difficulty in this area and, therefore, we are rather beholden to the English nonsense about MRSA and so on. Frankly, I am not entirely impressed by the Office for National Statistics thinking that this is a valid approach in relation to a study. Yes, if you had proper rules, but the trouble with MRSA, which I was hinting at earlier, is that it is different.

[111] If you remember, we talked about the *Staphylococcus aureus* up my nose. I worry about it being mentioned on a death certificate, because it will often be a junior doctor who will be filling it out. If you had guidelines and we applied them correctly, that would probably be a way of getting more robust data on this, but I would like to see a lot more than just MRSA or *Clostridium difficile* being looked at. If you are going to make sense of this, you need to mention whatever organism is responsible and then we could get the Office for National Statistics to look at all of them, including *Klebsiella* for example. How big an issue is this from the point of view of deaths?

[112] My parallel to all of this, to try to explain it—and I tested it on one of my microbiology colleagues this morning, so I think that it is all right—is that if you have a patient dying of lung cancer, how often would a doctor mention cigarettes? I think that there is a parallel there. He could mention cigarettes because we know that they are a causative factor, but you do not die of a cigarette even if somebody throws one at you, in the same way that you do not die, necessarily, of the fact that you are colonised by an MRSA. That is why I get worried about some of this. It would be far more meaningful to say, 'Let us have a sensible clinical system around death certification, that is, did this patient die of septicaemia, an infection, or pneumonia, and so on?'. If we look for those sorts of clinical-infection types of diagnosis, it would be more helpful in terms of this and of understanding where all the different organisms might fit in.

[113] **Darren Millar:** However, there is nothing to stop the Welsh health service from

introducing a supplementary form that would add to the data that you collect when someone has passed away. That could help you to tackle these problems. There is nothing to stop that happening, is there?

[114] **Dr Simmons:** I am not sure.

[115] **Ms Lloyd:** I do not think that it is a devolved matter.

[116] **Darren Millar:** I am not suggesting changing the statutory death certificate, all I am suggesting is that this information might be useful for you to collate, in any case, and that there is nothing to stop you requiring that information to be collected.

[117] **Dr Simmons:** Again, it goes back to the perception of how valuable you think that it is to know about an individual organism that killed somebody. In a way, this is the health service and we should be concentrating on that. We know that we need to learn lessons if things are going wrong, but this is again about putting MRSA in context. I would be much more interested in knowing how many people are dying from streptococcus pneumonia, E. coli or Klebsiella, because these have much more of an influence. That said, I can collect good surrogate data via my laboratory system of what the top 10 bacteraemia do across Wales, because we know how these organisms behave and which ones are likely to kill people. So, in a way, we have obtained that information by way of the top 10 bacteraemia—this is bloodstream infection and serious stuff, and a percentage of people who get them will die of these organisms. We can use that information to inform whatever we do from an individual trust point of view.

[118] **Mr Barnett:** If I could just add that, at a hospital level, we concentrate on the outcomes for the patient, as is mentioned in the Wales Audit Office report. We have regular mortality and morbidity meetings with the clinicians, and if death has occurred we analyse the reasons why. However, the important thing is that we keep looking at the outcomes for the patient, post-discharge, with 30-day surveys. In that way, you concentrate on where the patient has gone and what has happened subsequent to that, rather than look back at the data on this area.

[119] **Irene James:** Many of my questions have been answered. I had a question to Mrs Lloyd on whether all trusts are taking infection prevention and control sufficiently seriously. What we have heard is that it is not only infection control with MRSA, but that we also need to look at all other infections. So, to go on from that, has the Assembly's approach, to allow local targets to be set, helped with infection control and prevention targets?

[120] **Ms Lloyd:** Yes, I definitely think so. As Mike said earlier, if the problem can be acknowledged and owned locally by the staff who must implement any improvements, it is a much better way forward than an enforced set of guidance or targets. From the results that we have had in Wales, the localised approach has been the one that has worked.

[121] **Irene James:** So, do you think that those targets have been ambitious enough?

[122] **Ms Lloyd:** They are not targets; they are local targets. That is why we have placed so much emphasis on the Welsh risk pool's looking at how well people are complying and how well local targets are being effected. Mike's team also does that for us. All this has been incorporated into the self-assessment scheme for Healthcare Inspectorate Wales. So, we are keeping the pressure on because one has to, but there has been a distinct improvement in all organisations in Wales over the past few years since we set—

[123] **Irene James:** Is that true on different infections?

[124] **Ms Lloyd:** Yes.

[125] **Dr Simmons:** When we had the first of our feedback meetings, the infection control teams said that they did not like it, but, by year 3, there was incredible enthusiasm. They realised that it was making a difference. Hopefully, I will make Sharon—who is Paul's senior infection control nurse—turn red, but in the *Nursing Times* infection control award last year, in Wales we had two out of the five finalists. I know that Sharon did not win for Carmarthenshire, but Carmarthenshire was one of the finalists and it did incredibly well. I have heard the presentation, because we invited them down to show off to the Health Protection Agency when it was in Wales, and it was a brilliant presentation. The other trust was Swansea NHS Trust, which won. So, we had two out of five finalists for the *Nursing Times* award for infection control, and those trusts presented our infection reduction targets, which are making a difference, and it was incredible. So, it is making a real difference.

[126] **Lorraine Barrett:** I am looking at paragraphs 2.17 to 2.22, covering the issue of screening patients. Will Ann tell us how satisfied she is with the adequacy of screening approaches in the Welsh trusts, and why has the Assembly Government not issued central guidance on screening, particularly with regard to patients from residential homes?

[127] **Ms Lloyd:** We thought long and hard about the screening programmes, and we know very well that, in some parts of the UK, every single patient was screened if they came through an accident and emergency department—I had to investigate a trust in England that was doing that, and, as a consequence, the whole system was starting to fall apart.

2.10 p.m.

[128] We looked at the Department of Health guidance on screening, particularly for MRSA, that was produced in 2006. Our experts decided that this was built on limited evidence. So, we thought that there was merit to screening, but that we would be much more focused about the people we would screen—the people whom we believed, on evidence, would be at the highest risk; that was implemented. As we go through our list of infections, we will take advice from the experts on whether additional screening programmes are important.

[129] There is a great deal of anecdotal evidence of people coming in from the community colonised. I hope that our community infection control strategy will start to manage some of those people in their home contexts, so that they do not become people who then have to be transferred into hospital in order for us to manage the colonisation—that they will be able to be maintained in their own environments. There is a great deal of anecdotal evidence of many people coming in to hospitals from nursing homes who have infections. We are unpicking the data that we are getting from the trusts to enable our experts to advise us whether additional screening programmes would be necessary for them. At the moment, with regard to MRSA, they are included, but there must be a risk assessment against each individual, particularly those going in for vascular and orthopaedic surgery, for example.

[130] In Wales, we have again taken the view that we should screen for those who will be at high risk, so that we can manage them optimally in our hospitals and in the community, but that universal screening might not actually improve the situation.

[131] **Dr Simmons:** When we questioned the Department of Health on the basis of the guidance that it issued, the only evidence that it cited was the Scottish health technology assessment, which has been published only recently. Therefore, it put its advice out when that was only in draft format. Scotland has announced that it is contemplating going down a universal screening route, and it is now recognising that the data it is basing this on are not robust. In fact, one of my colleagues was suggesting that some of the data that it was basing

its mathematical model on had been rejected by the Cochrane Collaboration, which is an evidence-based organisation. I gather that the Cochrane Collaboration said that there is no sound evidence for universal screening and rejected it. We have been looking at different structures across the world; we have been getting data from the Americas to try to tease out whether there is any information to back this up. Therefore, because Scotland recognises and is concerned about the fact that its model is not robust, it is investing millions of pounds—I cannot remember how many—in a pilot scheme. The rest of the UK should look to that data; it would be unwise for us to change our stance now and move away from local decisions about what the appropriate screening approach is for each patch, bearing in mind the variations with MRSA and so on that we talked about.

[132] As a microbiologist, the other difficulty that I have with a universal approach is that screening should be for the benefit of the individual; we screen for cervical and prostate cancers, and so on, because it is of benefit to the individual. I know that I am carrying *Staphylococcus aureus* up my nose, and therefore, if I get a big cut, I might somehow colonise that—if I pick my nose and transfer the organism to the cut. That is why people get infected, which is an earlier question that we did not answer; people get infected because we carry most of the organisms that we become infected with. Yes, we can acquire them in hospitals, but why screen for just one organism? To pick up the example of your mother; she will have organisms on her skin, so if I were an orthopaedic surgeon and I were going to screen her, should I not screen for coagulase-negative *Staphylococcus*, methicillin-sensitive *Staphylococcus aureus*, whether she has gram-negative organisms present and so on, in order to better inform the decisions that I will take about the antibiotic prophylaxis I will give her before surgery?

[133] That is what screening should be about, rather than this idea that we must get the numbers down because it is a big issue. It is not a big issue; it is an important issue, but if you look at our prevalence data, fewer than 14 per cent of infections acquired in hospital are MRSA. Therefore, are we going to ignore 85 per cent of hospital-acquired infections? We are certainly not going to do so in Wales. That is not what we are doing—it is a much broader picture. Therefore, screen if it is appropriate, and screen for the organisms that are causing the problem in your unit, not just for MRSA. We used to screen for *Streptococci* in baby units when that was a problem—it is not any more, so we have stopped.

[134] **Lorraine Barrett:** I have a question for Paul Barnett. Would you welcome the Government's producing central guidance on screening?

[135] **Mr Barnett:** Yes, I would. Having said that, it is helpful that we are able to identify our own local targets and use various guidelines. As an example, we were just talking about MRSA screening; we use Hospital Infection Society guidelines, which inform what we do. We have an extensive MRSA screening programme in our trust. However, that is our situation. As I said earlier, we identified some years ago that that was a major target for us, and therefore we wanted to ensure that those results improved. As an example, we screen previous MRSA-positive patients, patients coming from other hospitals, hospital transfers, patients who have come from hospitals from abroad, cardiac care unit patients, and I have already mentioned elective orthopaedic patients. Therefore, from that point of view, we have an extensive programme, and that is left to us. However, if there is central guidance, I believe that that would further inform us and the rest of Wales.

[136] **David Melding:** You can add to that, but not amplify, Dr Simmons, as we have a fair number of questions to get through.

[137] **Dr Simmons:** We have been asked by the Welsh Assembly Government to try to bottom out some of this information, and to provide that to WAG, perhaps in a statement. However, that statement may well be that a local approach is appropriate.

[138] **Lorraine Barrett:** The first few paragraphs of part 3 of the report note the tension between the pressure to reach waiting-times targets for elective treatment, in particular, and patient safety issues and infection prevention. Could Ann say something about the extent to which there is a risk that the pursuit of Assembly Government targets could compromise patient safety, particularly with regard to infection prevention and control?

[139] **Ms Lloyd:** If that is all that trusts concentrated on, then it would be a risk. However, trusts have to concentrate on a range of issues, one of which is this important issue of hospital-acquired infections. As part of the annual operating framework, which is the guide to what we expect organisations to do, which includes the targets set by Ministers, local infection reductions targets are included.

[140] Therefore, organisations have to balance their whole business; they must ensure that they can meet the required targets in terms of waiting times, day-case rates, bed occupancy, and so on, but also, and importantly, they have to keep their eyes firmly fixed on the fact that they have to reduce the possibility of infections occurring in their organisations. Therefore, it is a balance. We went down that road because we did not, as we have said before, want to set up an absolute target for a particular infection being reduced. As you have seen from the many studies of this, that diverts management's attention away from the range of problems that are created by infections in their organisations.

[141] **Lorraine Barrett:** I have another question, which is for Paul Barnett. Does your trust experience the competing imperatives of performance targets and patient safety? If so, how do you manage those pressures, and do you feel that patient safety is ever compromised in pursuit of the targets?

[142] **Mr Barnett:** Certainly not. As I said at the beginning of my evidence, patient safety is the most important thing in what we do. There is a balance to be struck between the targets and some of the things that we do around infection control but, in reality, you can turn it round the other way. The more that we concentrate on patient safety, the more our ability to achieve the targets will improve. For instance, the length of stay comes down. If you get the basics right to start with, the other things will be easier to achieve.

2.20 p.m.

[143] **Irene James:** I would like to look at paragraph 3.6, which describes clinicians' compliance with hand hygiene requirements. Why does clinicians' compliance with those requirements vary? What can you do to improve that situation?

[144] **Mr Barnett:** This goes back to evidence that I have given previously. I do not believe that we should just concentrate on clinicians, by the way, as that is a bit invidious. Everybody involved in the ward has to take this very seriously and comply. That is why our training is not concentrated entirely on clinicians, but includes the whole of the ward staff, the departmental staff and anybody who comes into contact with patients. It is therefore important that when we regularly carry out audits of the training and the education that is given, we identify exactly what we need to do in the future. In our trust, each directorate has a training needs analysis each year and, as a result of that, we identify the programmes that are required. We have an induction programme for all staff but, in particular, there is a separate induction programme for medical staff. At that stage, we reinforce all the messages. Compliance does vary, and that goes back to the point that I was making about human nature, but the more that you reinforce the message, increase the training, and get their involvement, the more likely it is that you will deliver. I have described a situation where we have had multidisciplinary teams headed by doctors looking at various aspects of infection control and, as a result of their involvement, they have encouraged their juniors and so on to take this far

more seriously. We do lots of other things. For instance, we have been trialling something recently involving a motion-activated device that gives a message about washing your hands and using alcohol gel. That does not just apply to doctors; it applies to anybody who walks onto the ward. That is important and is a constant reminder not only for medical staff and other staff in the hospital but also for relatives and loved ones who come in to see patients.

[145] **Irene James:** I belong to an era when the saying was ‘cleanliness is next to godliness’. Perhaps we need to be promoting that. Ms Lloyd, what has the Assembly Government done to improve the engagement of everyone in this issue to ensure that there is effective infection prevention and control in practice everywhere?

[146] **Ms Lloyd:** We started the major Clean Your Hands campaign in 2004 and that has had a really good effect. Now, if you go into hospitals, you see visitors using hand gel and they are reminded by the staff not to sit on the beds and not to bring loads of stuff in with them. If you walk into a hospital now, there are all sorts of things that you are not allowed to do as a visitor that you could get away with before. We are constantly reinforcing the Clean Your Hands campaign because it is fundamental and instils in people visiting the ward the importance of maintaining as sterile an environment as possible. There are always people who will not comply and that is why the work that the Minister has initiated on who is in charge on a ward is so vital. The conclusions of that will be reported in the next few months. We have to be vigilant about it. There is loads of anecdotal evidence that leads us to think that we constantly have to keep up standards on the wards and that we must reinforce with visitors, carers, and the patients themselves, as well as our staff, that they must comply with the hygiene protocols in each of the clinical areas.

[147] **David Melding:** There is a sense that there is some disengagement, I would say. The evidence is so strong in the report, and we have skated over the issue of clinicians, although I understand that the general issues are important. However, you would expect the clinicians to lead in this area, would you not? I cannot see how, anywhere, there is an excuse for not doing it.

[148] **Ms Lloyd:** There is no excuse at all.

[149] **Dr Simmons:** I will amplify that, if I may, in terms of what is happening with the Safer Patients initiative, and the trusts that are engaged in that. Of course, that also hooks into the save a thousand lives initiative. It is about behavioural modification, I think, in terms of how you get this message across—getting it across to all clinicians and healthcare workers is important. We had a report from the Gwent trust, which is one of the second-round trusts, which reported 100 per cent compliance on one ward, and 96 or 97 per cent on a second ward. The trust has got inside people’s heads, and that is what we must do with all of this.

[150] I would not like the committee to go away with the idea, because of what Mrs Lloyd said, that healthcare-associated infection is an issue for visitors. If visitors are visiting just one person, then they are not a threat. We must concentrate on our staff, and on professional visitors such as the clergy, or the tea lady, and so on—anybody that is moving from patient to patient. It is good to place warnings outside the ward, and it is good to encourage everybody to be clean—from the pandemic flu point of view, we want people to be aware of their own personal responsibilities around hygiene. Cleanliness is next to godliness, but managing healthcare-associated infection has to be about staff, and staff hands—that is very important.

[151] **David Melding:** That is very clear, thank you. Lorraine has question 14—she is working very hard this afternoon.

[152] **Lorraine Barrett:** As it has been mentioned twice, Chair, I must ask where we humanists stand with cleanliness being next to godliness—I reckon that it is next to humanity.

[153] Anyway, I will move on. I will not go back 40 years to when I was nursing, when everything seemed to be perfect. Looking back, there was a policy of two to a bed and no more, and visiting time was very restricted—

[154] **Dr Simmons:** Two to a bed—are you talking about patients? [*Laughter.*]

[155] **Lorraine Barrett:** No, no.

[156] **David Melding:** I do not know whether it would do much for health, but morale would improve. [*Laughter.*]

[157] **Lorraine Barrett:** I did not think that it would have this effect—I was just thinking about what you said about visitors coming in and not being allowed to sit on the bed. It was far more strict in my day, I must say. However, I do not know what the infection rates were 40 years ago. As nurses, we would stay on the ward—you had a housekeeper for that ward only, and would do all the cleaning, and the bits and bobs. As nurses, twice or three times a day we would do damp dusting, although I do not know how healthy that was, with a rag and disinfectant, and so on. There did not seem to be so much wandering around, and moving from ward to ward.

[158] I have a question about paragraphs 3.10 to 3.17 in the report, regarding the basic failings in housekeeping that were identified by the healthcare inspectorate. I just wondered whether you wanted to say, Paul, what you were doing in your trust, and what the association was between the general housekeeping failings as identified in those paragraphs, and the level of infections.

[159] **Mr Barnett:** You will not be surprised to hear me say that I am not sure that this applies to my particular trust. For instance, the report talks about making cleaning staff members of the ward team, and about identifying who actually manages the ward. In my trust, both measures are well established. The ward manager manages the ward and is responsible for what happens on it, and the ward cleaner, and the housekeeping staff, are very much part of that team, to the extent that, when the work programmes for the cleaning staff are identified, they are identified with the ward manager. Ward managers also receive training in auditing cleaning practices, and they work very much with the cleaning and housekeeping staff to ensure that those standards are maintained. We have regular audits, not only from the housekeeping managers, but from the link nurses, who work on each of the wards, and from the infection control departments. Those audits identify anything that is amiss and that needs to be put right, whether it is a training issue or an equipment issue and so on.

2.30 p.m.

[160] At the end of the day, we are vigilant at all times and ensure that when these audits take place, the visits are unannounced so that people are kept on their toes. Frankly, that is part of their *raison d'être*, but in addition to that, of course, it is important that they feel part of the team—that the cleaning staff identify with the ward and with their fellow professionals. Their training is regularly updated, and I mentioned the cleaning equipment earlier, which must be updated and improved all the time. I like to think that they have a very important role to play—they do have a very important role. We allocate resources from other parts of the hospital if someone is off sick—that is inevitable, but there is an identified resource for each of the wards and the ward manager is very much responsible for that.

[161] **Ms Lloyd:** When Healthcare Inspectorate Wales went onto wards, it found, for example, clutter, so how on earth can you clean around clutter? It also found medical equipment that had not been disposed of effectively, and the design of the wards is such that

there is inadequate storage space. That is probably due to the fact that back in the 1980s, there was a requirement for a new type of building and all the storage and the non-absolute patient areas were reduced by around 35 per cent. That has led to our staff trying to manage equipment. Consider how much equipment you find around patients' beds these days and the different types of mattresses. We are trying to manage all that as though we were dealing with a pre-1980s hospital and, mostly, we are not.

[162] So, there is a whole set of issues to tackle. We have the national cleanliness standards and the performance assessment toolkit as well as the 'Fundamentals of Care' guidance. The community health councils are helpful in going around and assessing the application of the fundamentals of care for us every year. However, there is clutter everywhere, which means that you get horror stories of dust collecting in corners and things not being cleaned sufficiently frequently. We have to take the opportunity in the capital building programme administered by the Minister to set right some of these things or look much more creatively at the management of equipment and not believe, for example, that you have to hang on to everything that has ever entered your ward or that you have to hide it in case someone nicks it—which happens—but that you have start to go back into the past. When I was trained, we had a central store that was effective and equipment was cleaned properly by someone trained to do it properly. I agree that the housekeeping team must be an integral part of the ward team and, as you know, again, the Minister has commissioned work on that because that is certainly how she wants things to develop.

[163] **David Melding:** There are a couple of supplementary questions. Darren Millar is first.

[164] **Darren Millar:** Earlier, Paul, when you were telling us about the improvements made in your trust, and clearly there have been significant improvements, you referred to deep cleaning as being one of the key drivers of the improvements. Obviously, the extra bed capacity in your trust allows you to vacate certain areas so that the cleaning can take place. However, what exactly is a 'deep clean' and are you instructed to do those deep cleans by the Welsh Assembly Government or is that a local policy that you have taken forward?

[165] **Mr Barnett:** We have taken it forward as a trust, and we have been doing deep cleans as one-offs for years. However, in the last 18 months, we have established a regular programme that means that each ward is deep cleaned as part of the rolling programme. It is also part of the refurbishment programme that we have for each ward. The deep clean basically involves the wards being cleaned from top to bottom. Every surface is cleaned thoroughly and disinfected to ensure that when patients return to the ward, we start off on the right level.

[166] **Darren Millar:** In terms of those hospital trusts that do not have the capacity to vacate a ward to enable a deep clean to take place, how can they manage to regularly deep clean wards in order to eliminate the opportunity for dust and dirt to build up?

[167] **Dr Simmons:** Most trusts have a policy on this. I am going to sound daft now, because I have just realised what I have said. I used to work for Paul's trust in the dim and distance past, but my understanding has always been that most trusts have a policy of deep cleaning, particularly in areas where they have had outbreaks and isolated patients. Before you put patients back in a ward or bed area, you go through a deep-clean process. Paul's trust now has a regular programme, which is perhaps different, but I cannot comment on other trusts in that respect.

[168] **Ms Lloyd:** There will always be some flexibility. Paul has wards that can be moved around, but there will always be some flexibility within organisations. They can either reduce the workload at particular times of the week to allow wards to be deep cleaned or shut wards

over the weekend for a deep clean to take place. If there has been an infection outbreak, it is a requirement that there is a deep clean and that patients should not be put back in a ward without that taking place.

[169] It is not just the deep clean that is important; the constant cleaning is also important. The big problem is getting rid of all the clutter. These things must all go together, and that is the focus of trusts' work on improving cleaning and the environment at the moment.

[170] **Bethan Jenkins:** I have a brief question on the cleanliness aspect. I know that some trusts outsource cleaning contracts to private agencies. How would that potentially have an effect on cleaning staff working with other members of staff? In the new hospital in Port Talbot, there were some issues regarding the type of cleaning products that were used.

[171] **Ms Lloyd:** There are only three small hospitals that outsource their cleaning. Basically, whether or not it is outsourced should not matter in terms of the quality, because you have a standard for cleaning and standard products. It is important to maintain the supervision of those contracts.

[172] **David Melding:** We will have to move on as the tyranny of the clock is against us.

[173] **Eleanor Burnham:** I am delighted to hear what Ms Lloyd just said, because my mother was a nurse in the 1930s, and she was taught to clean lavatories—this was before Lorraine's time. At that time, they had autoclaving and so on. It was just incredible.

[174] **Ms Lloyd:** Even I remember that. [*Laughter.*]

[175] **Eleanor Burnham:** We live in a different era, and I am concerned about general hygiene. In addition to providing staff and patients with sufficient information, do you think that we as Assembly Members should press for hygiene education to be on the curriculum in schools? How many of us have used general facilities and seen people coming out of loos and not washing their hands? It is a general malaise, is it not? Is that the kind of information that you are disseminating?

[176] **David Melding:** Do hospital visitors receive a leaflet?

[177] **Ms Lloyd:** Yes, there is a 'Teach germs a lesson!' leaflet. To say that our staff are not taking this seriously enough is a very sweeping statement because there has been such a huge improvement. However, we are talking to the postgraduate deans and training colleges to ensure that this topic is placed on the curriculum and reinforced at every stage.

[178] **Dr Simmons:** I may have misunderstood, but were you referring to the schools' point of view?

[179] **Eleanor Burnham:** I was thinking about what happens outside hospitals.

[180] **Dr Simmons:** We are drilling down. As the result of the E. coli outbreak, the leaflet 'Teach germs a lesson!' has been produced—there is a leaflet for junior and primary schools. We have had a clean hands campaign in schools, which was sponsored by one of the companies concerned. We are doing the same thing with pandemic flu for the general population. There is a huge amount of emphasis.

2.40 p.m.

[181] **David Melding:** Paul, does your trust engage with the general public and potential visitors at all?

[182] **Mr Barnett:** Picking up on the information provided, we work very closely with the community health council and patient groups to prepare a range of leaflets. For instance, we currently have leaflets on MRSA, C. difficile, ESBLs, norovirus, hand hygiene—

[183] **Dr Simmons:** People may not know what ESBLs are.

[184] **Mr Barnett:** No, but they will be coming, and trusts are having to manage them now.

[185] All those leaflets have been prepared with the CHC and with various patient groups. We have a reader panel in Carmarthenshire, which reads them to ensure that we have filtered out the jargon and the long words so that we can get the messages across. We have worked closely with the local media, with newspapers and radio stations, to get across not just the good news stories, but educational stories that will hopefully catch the imagination.

[186] **Eleanor Burnham:** That is heartening, because in our area it is slightly different, and I wish that I could come to Carmarthenshire if I ever need treatment.

[187] **Darren Millar:** The NHS has finite resources and those resources are extremely important. An issue picked up by the auditor, in paragraph 3.52, and which goes on to paragraph 3.61, was the cost associated with healthcare-associated infections in Wales, which is estimated at around £50 million. The report goes on to say that it is difficult to put a proper cost on these infections and that the way in which trusts record information on the costs of healthcare-associated infections is a bit patchy and inconsistent. Do you think that it would be helpful, Ann and Mike, to have some common methodology for capturing the costs associated with these infections?

[188] **Ms Lloyd:** We have asked Mike's group to look at the issue of cost and bed days and to report those, to see whether or not we can capture explicitly the costs of infection. We know how much we invest in infection control, but we have asked the group for advice on this.

[189] **Dr Simmons:** This goes back to the clipboard issue. I would prefer a surrogate for all of this if I could have it; I would rather have infection control personnel engaged in the process and not trying to work out how much it costs. However, I understand where the point comes from, because it is important to know what it costs. There is never any spare money, of course, but identifying the costs would allow increased efficiency and throughput. So, the money would still be spent and we would not save anything, but we would spend it better.

[190] Scotland conducted a comprehensive economic study alongside its prevalence study to try to capture that data. What we are planning to do, across the five nations—including the Republic or Ireland—is to see whether we could use those data to plug into our prevalence data on an all-Wales basis. Therefore, because the data are available—as you will know from the website or from the Wales Audit Office website—individual trusts will be able to drill down into their prevalence. If that works, we will be able to get an accurate handle at trust level on what the costs are to Carmarthen, to Ceredigion, and all of the others. It is important, but I would hate it if staff were to get sidetracked too heavily into this, and I would like to find a surrogate way of doing it.

[191] **Darren Millar:** Paul, in terms of your trust, do you have a handle on the cost to your trust of healthcare-acquired infections, and, if you do, do you report that information to the trust management?

[192] **Mr Barnett:** About two and a half years ago, we had a series of outbreaks in one part of one of our hospitals, and, naturally, I was concerned about the issue of patient safety, while

I also realised the costs involved. At that time, I asked my finance director to give me an estimate of the amount of money that it was costing in terms of length of stay and drug costs, and that sort of thing. It was only an estimate, as has been said, because you cannot give an entirely accurate picture because not all the people who have an infection go on to develop the symptoms and stay longer in hospital. However, that estimate was pretty scary and, as a result of that and the various other Welsh Assembly Government programmes that came out subsequently, we were keen to pick up the cudgels, as it were, and do something about this area.

[193] I asked our infection control staff to work up a business case with the finance staff based on the amounts that we are currently spending and the money we are losing, and to identify what could be saved by justifying additional staff in various areas. Mike is right. When you have infection control expertise, it is best used at ward level in all the various tasks undertaken. I have already alluded to one post that we managed to recruit as a result of this business case, the anti-microbial pharmacist, but we have also recruited an infection control co-ordinator, who has worked closely with the surveillance programmes that we described earlier. We continue to performance-manage and monitor the situation, but the facts and figures that we were presented with as a trust management team two years ago meant that we were looking at a cost, based on the Plowman's model, of £72,000, which is about equal to that of the two posts. The important thing is that, in addition to the savings that we feel we are likely to make on the length of stay, it is a quality issue and we are improving the quality of care given. So, in many ways, this has been a no-brainer for us. Two years later, we have seen the results and the improvements.

[194] **David Melding:** That is encouraging.

[195] **Chris Franks:** I will refer to paragraph 3.71, Mrs Lloyd. We have heard that Carmarthenshire can make use of isolation facilities, but I suspect that that is not the case everywhere. What are you doing to help trusts to create isolation units?

[196] **Ms Lloyd:** We undertook a survey of isolation facilities in 2003. Given our concern, we then asked Welsh Health Estates to undertake a far more in-depth study of the isolation facilities and of whether they complied with the building standards note. You can see from the results in the WAO report that many did not. The progress is patchy and, therefore, we have asked Welsh Health Estates to undertake a second review. As it says here, we issued additional information in 2006 to see the extent to which they are enabled to comply. Many of the organisations have taken action in turning areas of their wards into more single rooms, some with reverse pressure and in full compliance to isolation. However, in some instances, merely being able to isolate individuals in a single room will help to control the infection. You do not need to have a fully blown isolation suite to ameliorate some of the effects of infection.

[197] We should have this report in the very near future. We will then ensure again, through the capital programme, that there is a facility for those individual organisations that are still unable to comply with the norm to be able to comply. They can do it through their discretionary capital as well as through any major capital scheme. However, we would certainly be looking at major capital schemes to ensure that the design complies with modern practice on patient isolation and the more flexible management of patients.

[198] **Chris Franks:** Okay. Will the reconfiguration process take people's eyes off this ball?

[199] **Ms Lloyd:** No.

[200] **David Melding:** We are drifting.

[201] **Chris Franks:** I like to drift.

[202] **David Melding:** It is something that we need to cover, so you may deal with it.

[203] **Ms Lloyd:** Do you mean trust reconfiguration?

[204] **Chris Franks:** Yes.

[205] **Ms Lloyd:** In that case, my answer is 'No, not at all'. Trust reconfiguration is an administrative process. The trust reconfigurations that are under way at the moment have been very much vested in improving patient care. The schemes that are ongoing, and the reviews that are already taking place will help to enhance the decision-making on the plans that are already going ahead in some of these merging trusts, to take the next step forward in improving the patient's environment.

2.50 p.m.

[206] **Lorraine Barrett:** Paragraphs 3.76 to 3.81 show that the ratio of infection control nurses to beds is lower than that suggested by the American benchmarks. Could Ann Lloyd tell us how she plans to develop capacity in infection control teams?

[207] **Ms Lloyd:** At one point, the report asks why we did not issue definitive guidance. I have asked the workforce development teams to look at the American guidance, which, as you can see, has changed over the past couple of years, to see what would be the ideal infection control multidisciplinary team, given the needs and local targets in each organisation. Do we have enough trained staff in the system? Do we need to alter the education commissions? What are we doing about microbiologists for the future? What is their age profile, and so on? That is to ensure that there is sufficient expertise in each organisation and each geographic area, particularly those affecting the community, to be able to ensure that the strategy can be implemented as we wish it to be. That is ongoing work, to be taken forward this year in readiness for the workforce development proposals coming out about October.

[208] **Dr Simmons:** May I give a bit of a trite answer to that, in one sense? I think that the ratio of infection control nurses to beds should be 1:1 and that is effectively what our strategy says, because infection control is everybody's business. I am sure that what will come out of this is that these questions—of how many specialists are needed and how many people are needed in other areas—are really matters that trusts have to determine. We want every member of staff to understand what their infection control and prevention responsibilities are. Therefore, the ratio should probably be 1:1 and the American model is possibly not really relevant to anybody.

[209] **David Melding:** However, we are way off that culture, are we not?

[210] **Dr Simmons:** We are not; I think that we are in a really exciting phase. I think that we had a step change in March last year when we invited the chief executives to an infection control committee, rather than the infection control teams. Paul was there. Ten of our 14 chief executives were present, and that was really impressive. They seemed to recognise where it is at. We also have the Safer Patients initiative, and all those sorts of things. I think that, because of all of those things, we are seeing a sudden change in what is going on. So, we are not there yet, but I think that we will get there very fast.

[211] **Darren Millar:** I would just like to explore this further. One thing that the report says is that clinicians are not necessarily attending the training that is being provided for them on

infection control. We have already talked about clinicians not washing their hands, or not using the alcohol gel that is available to wash their hands, to reduce the chances of infection. We are told the action that is being taken in paragraphs 3.8 to 3.9, especially on the training front, and that indicates that training is poorly attended, especially by clinicians. Given that, are staff really taking this issue seriously, Mike? You have painted quite a glowing picture, but I do not think that it is quite as good as that.

[212] **Dr Simmons:** I do not disagree with the statements. I am very pleased with this report; I think that it is a very valuable source document and audit. It is a really good audit for us, to help us to move things on from an infection control point of view in Wales. However, what I am trying to highlight is that we have found that you can train and train staff, but it will not necessarily work. I have been on training courses for x, y and z and have come away thinking, 'That was good; I had a nice beer in the bar and did this, that and the other', but it did not actually change my practices; I am sure that we have all done that, if we are honest. I have been impressed by the Assembly's move towards the quality agenda and all of the initiatives surrounding that, because we are now beginning to get inside everybody's head on this. It is about behavioural change and about the population's behavioural change. You can throw training programmes at people until you are blue in the face, and you can make them mandatory, but people will not necessarily listen or change their practice. You somehow have to influence behavioural change, and I think that we are beginning to see a real change in all of this. Everybody suddenly clicked and thought, 'Blimey, this is important stuff'.

[213] **Darren Millar:** You think that it is working even though clinicians are not washing their hands.

[214] **Dr Simmons:** No, my illustration is that clinicians are washing their hands in this new framework that we are beginning to see. That is why I highlighted Gwent: 100 per cent compliance means that all the doctors are washing their hands as well.

[215] **Darren Millar:** Do you not think that making annual training mandatory, as with fire training and similar types of training, would necessarily be a good thing?

[216] **Dr Simmons:** All training or education should be needs-based. That was what was drummed into me as a postgraduate organiser, when I was in Paul's trust before he was there. Education should be needs-based, so you must target it appropriately. If we are not careful, 'mandatory' becomes the mantra. Well, perhaps not the mantra or we would all be doing it, but you will just be going through the motions, which is not helpful.

[217] **David Melding:** The trouble is that the doctors who do not wash their hands do not think that they need the training; is that not the issue?

[218] **Dr Simmons:** The point is that you must get inside their heads, and that is what we are trying to do.

[219] **Darren Millar:** What is the situation in Carmarthen, Paul? Do the clinicians take it seriously there? It would seem that they do, given the improvements that you have seen.

[220] **Mr Barnett:** It goes back to the point that I made before: you must be vigilant, keep up the pressure, keep trying to get messages across, and demonstrate the outcomes that you get from good practice. I will briefly mention a training tool that we are currently rolling out and using more, which is the Assembly Government's e-learning programme on healthcare-associated infections. The aim is to facilitate training for senior staff who go through a programme of about 18 to 20 hours, which can be done anywhere, so you do not have to be in a training room or a postgraduate room, because you can do it at the board level or in your home. This training programme will give you all the necessary skills, and it is done in an

environment in which you control the time taken to use the training, and so on. It may well facilitate and encourage senior clinical staff to take advantage of that, and to develop in the right way.

[221] **Darren Millar:** In that case, a very high proportion of your clinical staff must engage in the training, whether it is through e-learning or attendance on a course for a day, where they can also have a beer.

[222] **Mr Barnett:** We certainly do not ask them that. We are currently rolling out the e-learning programme, and we have 16 senior people going through the programme in April. The general training is variable, if I am being honest. Some are very keen and insist that their junior colleagues and others take the appropriate action. The level of compliance is going up, however.

[223] **Darren Millar:** Would it be useful to make the training mandatory?

[224] **Mr Barnett:** It is difficult to make it mandatory because, as Mike has said, you must get inside people's heads. It is all very well to attend a training programme for an hour and to put a tick in the box to say that someone was there, but you must replicate that training in practice.

[225] **David Melding:** Okay, I think that we understand the point that you make. I want to move to the last question, but I will let Eleanor in quickly.

[226] **Eleanor Burnham:** Is there any truth in the story that clinicians should become tie-less? If so, do you foresee tie-less trusts? Apparently, ties carry germs.

[227] **Dr Simmons:** Oh, tie-less. I thought that you said 'tireless'.

[228] **David Melding:** I think that there is also an issue with clinicians being bare below the elbows. You may want to respond to that briefly.

[229] **Dr Simmons:** I think that the Minister has the chief nursing officer running a task-and-finish group on uniforms and what they might include, which is important. There is a whole issue in this regard, and, again, the Wales Audit Office picks it up. If staff are required to change their clothes, you must have all the facilities in place. There are many things that can act as fomites and the best one is hands. It is fingers that do the touching and so hand hygiene should be at the forefront of our minds.

[230] **David Melding:** The final question is from our interrogator-in-chief, Lorraine. Perhaps she can ask the question in more positive terms.

[231] **Lorraine Barrett:** Are we looking at question 20?

[232] **David Melding:** Yes. Chris touched upon it, but in a negative way about its being a barrier rather than an opportunity.

[233] **Lorraine Barrett:** Okay. Paragraphs 3.90 to 3.93 show that systems of prevention and management of healthcare-associated infections will need to adapt to changing circumstances, such as the configuration of hospitals and the services, which Chris Franks mentioned earlier. Will Ann Lloyd say something about the implications of reconfiguration for infection prevention and control, both in terms of opportunities and risks?

[234] **Ms Lloyd:** Many opportunities present themselves as you redesign services to meet healthcare standards and to implement these new clinical practices described in paragraphs

3.94 and paragraph 3.95. You are looking at a scheme that sets out to save, in Wales, 50,000 episodes of avoidable harm and 1,000 lives.

3.00 p.m.

[235] This is an initiative from the States, which seems to have worked very well. We have looked at the pilot schemes from Northern Ireland. Staff have described the different ways in which they start to think through problems affecting patients as a result of this scheme. It started off in intensive care units. It has worked extremely well, and care bundles have been developed throughout Wales over the past couple of years.

[236] We need to turn the question away from the reorganisation of services to setting out the standards of care for every individual in our community and hospital services, whatever is wrong with them, and to saying, 'This is how people will be cared for, because these are the outcomes that we need you, as trusts and community services, to achieve'. Therefore, reorganisation would probably provide an opportunity for a greater body of general clinical staff to discuss the best ways to achieve the healthcare standards and for us to monitor the trust chief executives and others on the ways in which they are facilitating those achievements within their organisations. However, the real focus must be on improving patient safety and achieving standardisation of care, so that there is a reduction of inequalities and so that patients and carers know exactly what they are going to get, particularly with elective care, when they enter a community or hospital service. So, we should focus on the standards and achieving those, rather than any reorganisation, although reorganisation, as it has been described so far, must be to improve the standard of patient care; we must focus on that, because that is what is important.

[237] **David Melding:** Thank you. That brings us to the end of the evidence session. It has been very thorough and, at times, strangely entertaining. [*Laughter.*] I thank all the witnesses. This is an important subject, and we have had a candid discussion about the range of issues. A transcript will be sent to you in due course, and if you think that there are any gross errors with regard to what was said you may indicate those. Thank you all for your attendance.

3.02 p.m.

**Ystyried Ymateb Llywodraeth Cynulliad Cymru i Adroddiad y Pwyllgor
Archwilio, 'Diogelu Arian Cyhoeddus ym Mhrosiectau LG, Casnewydd'
Consideration of the Welsh Assembly Government's Response to the Audit
Committee Report, 'Protecting Public Money in the LG Projects, Newport'**

[238] **David Melding:** The papers on this have been circulated; Members will have seen Ieuan Wyn's letter and the enclosure. Jeremy, do you have any comments?

[239] **Mr Colman:** I wish to say only that I am quite unsurprised and pleased to report that the Assembly Government has accepted all the recommendations of the committee and all those in my report. I am not surprised, because the witnesses, as you may recall, said repeatedly in their evidence that they would not do things today the way that they did them then. The response confirms that that is the Government's policy.

[240] **David Melding:** Are we content? I see that we are.

3.03 p.m.

**Cofnodion y Cyfarfod Diwethaf
Minutes of the Previous Meeting**

[241] **David Melding:** Are we happy to accept these minutes? I see that we are.

**Cynnig Trefniadol
Procedural Motion**

[242] **David Melding:** I propose that

the committee resolves to exclude the public from the remainder of the meeting in accordance with Standing Order No. 10.37(vi).

[243] I see that there are no objections. Therefore, please switch off the broadcasting system and clear the public galleries.

*Daeth rhan gyhoeddus y cyfarfod i ben am 3.04 p.m.
The public part of the meeting ended at 3.04 p.m.*